

Brief Reports

Screening of Brazilian Families with Primary Dystonia Reveals a Novel *THAPI* Mutation and a De Novo *TORIA* GAG Deletion

Patricia De Carvalho Aguiar, MD, PhD,^{1,2*}
Tania Fuchs, PhD,³ Vanderci Borges, MD, PhD,¹
Kay-Marie Lamar, BS,³
Sonia Maria Azevedo Silva, MD, PhD,¹
Henrique Ballalai Ferraz, MD, PhD,¹
and Laurie Ozelius, PhD^{3,4}

¹Department of Neurology and Neurosurgery, Universidade Federal de Sao Paulo, Sao Paulo, SP, Brazil; ²Instituto Israelita de Ensino e Pesquisa Albert Einstein, Sao Paulo, SP, Brazil; ³Department of Genetics and Genomic Sciences, Mount Sinai School of Medicine, New York, New York, USA; ⁴Department of Neurology, Mount Sinai School of Medicine, New York, New York, USA

Abstract: The *TORIA* and *THAPI* genes were screened for mutations in a cohort of 21 Brazilian patients with Primary torsion dystonia (PTD). We identified a de novo delGAG mutation in the *TORIA* gene in a patient with a typical DYT1 phenotype and a novel c.1A > G (p.Met1?) mutation in *THAPI* in a patient with early onset generalized dystonia with speech involvement. Mutations in these two known PTD genes, *TORIA* and *THAPI*, are responsible for about 10% of the PTD cases in our Brazilian cohort suggesting genetic heterogeneity and supporting the role of other genes in PTD. © 2010 Movement Disorder Society

Key words: dystonia; DYT1; TOR1A; DYT6; THAPI; de novo mutation

Primary torsion dystonia (PTD) is associated with mutations in two genes: *TORIA* (*DYT1*)¹ and *THAPI* (*DYT6*).² A 3 bp (GAG) deletion in the coding region of

the *TORIA* gene is a major cause of early limb onset generalized dystonia in different ethnicities. Multiple mutations in the *THAPI* gene have been identified in families of European ancestry.^{3,4} Clinical features can overlap with the DYT1 phenotype, but DYT6 families have a broader age of onset, from early childhood to adulthood, and are marked by prominent involvement of cranial and cervical muscles.⁵ In this study, we screened Brazilian patients with primary dystonia for the GAG deletion in *TORIA* and for mutations in *THAPI*.

PATIENTS AND METHODS

Twenty-one Brazilian probands (11 males and 10 females) with primary dystonia and available family members were recruited, after written informed consent, from the Movement Disorders Unit of Universidade Federal de Sao Paulo and examined by movement disorders specialists. All probands were classified as definite dystonia according to previously defined clinical criteria.⁶ The study was approved by the institutional review boards.

Molecular Analysis

DNA was extracted from peripheral blood using the Purgene procedure (Gentra Systems, Minneapolis, MN). All patients were screened for the *TORIA* GAG deletion as previously described.¹ Those who were negative for this mutation were further screened for *THAPI* mutations by direct sequencing as described.² European Caucasian DNA control samples (Sigma-Aldrich; n = 277) were sequenced for the identified *THAPI* mutation. To establish paternity, polymorphic markers spanning the *TORIA* gene were tested including D9S159, D9S2160, D9S2161, D9S63, and D9S2162 using previously published PCR conditions¹ and analyzed on an ABI377 automated sequencer (PerkinElmer, Wellesley, MA).

RESULTS

The clinical characteristics of the patients are summarized in Table 1. More than half (62%) had generalized PTD with the remainder having segmental or focal PTD. We identified a delGAG mutation in the *TORIA* gene in one patient (patient 7), in his affected sibling and in their unaffected mother but not in the

*Correspondence to: Dr. Patricia de Carvalho Aguiar, Department of Neurology and Neurosurgery-UNIFESP, Rua Botucatu, 740, Sao Paulo, SP 04023-900, Brasil. E-mail: patriciamc@einstein.br

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TABLE 1. Clinical characteristics of Brazilian primary dystonia patients

Patient	Sex	Age of onset (yr)	Disease duration (yr)	Site of onset	Distribution	Classification	Dysphonia	Family history	Gene mutation
1	F	9	11	LA	G	G		N	
2	M	13	12	RA	G	G		Y	
3	F	4	19	RA	G	G	Y	P	
4	F	8	35	LL	G	G		N	
5	M	23	3	RL	G	G		N	
6	F	5	18	RL	G	G		N	
7	M	8	5	RA	G	G		Y	TORIA
8	M	7	6	T	G	G		N	
9	M	8	5	RL	G	G		N	
10	F	7	15	LL	G	G		N	
11	F	7	35	RA	G	G	Y	N	
12	M	16	18	T	G	G		N	
13	F	19	1	C+T	C+T	S		N	
14	F	4	6	RL	G	G	Y	Y	THAPI
15	F	36	8	C	C	Fc		Y	
16	M	18	23	C+T	C+T+LA	S		N	
17	M	32	6	RA	RA+C	S		Y	
18	M	18	3	C	C	Fc		N	
19	F	13	41	C	C	Fc		P	
20	M	16	10	RA+LA	RA+LA+C	S		N	
21	M	48	1	RA	RA+C+LA	S		N	

F, female; LA, left arm; G, generalized; N, no; M, male; RA, right arm; Y, yes; LL, left leg; RL, right leg; T, trunk; C, cervical; Fc, focal; S, segmental; P, possible (other family members were reported as possibly having dystonia but were not available for examination).

mother's parents indicating a de novo mutation. Marker analysis revealed that the mother inherited a haplotype from each parent consistent with correct paternity (Fig. 1). *THAPI* was screened in the other 20 patients and we identified a novel c.1A > G (p.Met1?) mutation which cosegregated with the disease in affected family members but was also present in an 8-year-old brother and in the proband's 45-year-old father, both unaffected. This mutation was not present on 554 control chromosomes.

PATIENTS' DESCRIPTIONS

Patient 7

The proband (III.2 in Fig. 1) was a 13-year-old boy who developed right upper limb dystonia at the age of 8, which generalized over 3 years. There was no response to an initial levodopa trial and response to anticholinergic drugs was poor. On exam, his 14-year-old brother (III.1) showed typical writer's cramp in both arms with no change after 2 years. The 62-year-old maternal grandfather (I.2) complained of upper limbs tremor, which had begun 2 years previously. His past medical history was unremarkable. On exam, he presented upper limbs rest tremor, cogwheel rigidity, bradykinesia, and hypomimia. He was diagnosed with

Parkinson's disease and started on Amantadine, with improvement of symptoms. The paternal uncle (II.1, 46 years old) reported upper left limb and mouth involuntary movements for 3 years. On exam, he showed orofacial dyskinesias and choreoathetoid movements of the upper left limb but denied previous exposure to neuroleptics or other drugs otherwise, his past medical history was unremarkable. Brain image and laboratory exams were normal. The proband's parents (II.2, II.3), 8-year-old sister (III.3), and paternal grandmother (I.1) did not show abnormalities on physical exam. Neither the grandfather nor the uncle had the delGAG mutation (Fig. 1).

Patient 14

A 12-year-old female presented to our clinic with a history of abnormal right inferior limb posture and twisting movements since the age of 4, which progressed to other body parts in 2 years. On physical exam, we observed severe generalized dystonia, the patient was wheelchair bound, all limbs, trunk, and cranial-cervical region were equally compromised, dysphonia was also present. She had a positive family history for dystonia, her 21-year-old brother had been affected with dystonia since the age of 6. In his case, dystonia was initially observed in the left upper limb

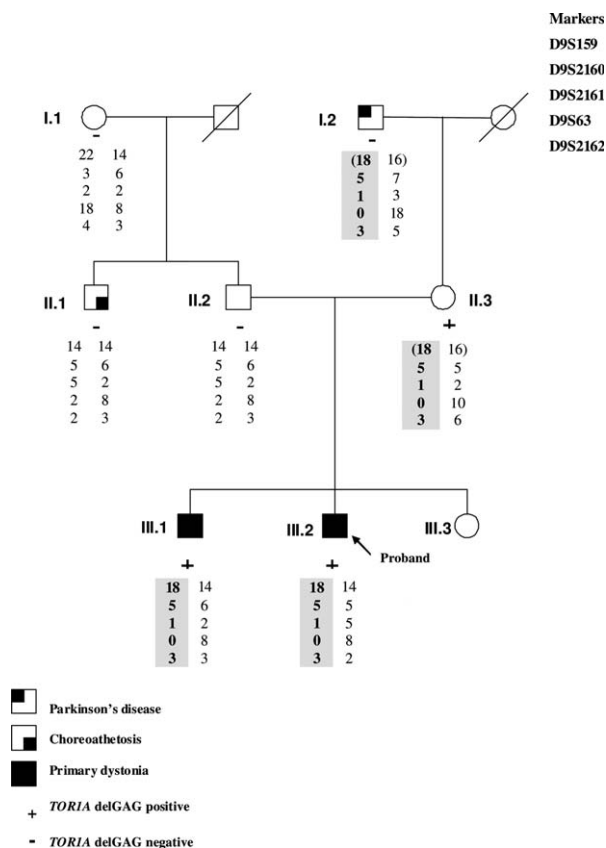


FIG. 1. De novo *TORIA* delGAG mutation in proband's 7 family. Disease related haplotype is highlighted.

and then generalized, but remained asymmetrical with left side predominance but he was able to walk unassisted after a 15-year disease history. Dysphonia was present. An 8-year-old brother and both parents were unaffected. There was no consanguinity within the family. Past medical history, as well as laboratory and imaging exams were unremarkable.

DISCUSSION

We screened a cohort of Brazilian PTD cases for mutations in the two identified PTD genes and identified one case with a de novo mutation in *TORIA* and one case with a novel mutation in the *THAPI* gene, both with a positive family history of dystonia.

Haplotype analysis of the family of proband 7 revealed a de novo delGAG mutation that arose in the probands' unaffected mother. His maternal grandfather has the disease haplotype, but not the mutation (Fig. 1). To our knowledge, this is the first report of a de novo *TORIA* mutation in a Brazilian family and only

the fourth de novo delGAG mutation reported.^{7,8} This family is also interesting because of the spectrum of different movement disorders it presents. The proband has generalized dystonia and his brother has writers' cramp, both with mutations in the *TORIA* gene. The maternal grandfather has Parkinson's disease and a paternal uncle has choreoathetoid movements of unknown etiology. It is possible that within this family, there are some genetic or environmental modifiers that lead to a higher risk of development of movement disorders in general. Association of more than one movement disorder in the same patient is not rare, but families with multiple movement disorders in different members are uncommon and of great interest to investigate susceptibility factors.^{9,10}

THAPI mutations have recently been identified in patients with PTD.^{2,11,12} In these families, the age of onset varied from 5 to 49 years. The upper limb was the site of onset in the majority of the cases (58%), followed by the cranial region (29%), neck (17%), and inferior limb (10%). Speech was often involved. We identified a novel *THAPI* mutation, the first reported in a Brazilian family. The affected patients were within the described range for the disease age of onset and, consistent with the *DYT6* phenotype, both had dysphonia. However, unlike the majority of the *DYT6* cases, the proband had leg onset and rapid progression to the generalized form resulting in inability to walk, clinical features indistinguishable from typical *DYT1* dystonia. This emphasizes the clinical overlap of these two forms of primary dystonia. In addition, other patients in our cohort had a positive family history and a similar phenotype (Table 1). However, no mutations were identified in either gene, which demonstrates the genetic heterogeneity of PTD. The fact that two family members are unaffected *THAPI* mutation carriers is consistent with the 60% penetrance previously reported,⁵ but we cannot rule out the possibility that both mutation carriers will develop the disease in the future, since the age of onset spectrum is wide.

THAPI is a member of a recently described family of atypical Zn finger DNA-binding proteins.¹³ Most of the mutations described to date are localized in the THAP DNA-binding domain or remove the nuclear localization.^{2,11,12} suggesting that aberrations in DNA binding and consequent dysregulation of transcription factor activity may play a role in the pathophysiology of dystonia. The novel c.1A>G *THAPI* mutation identified in the Brazilian family presumably results in elimination of the start codon. This could lead to the absence of the protein product with consequent haploinsufficiency or it is also possible that protein transla-

tion could start at one of the two in-frame downstream Methionines (M120 and M143), generating a truncated THAP1 protein missing the DNA-binding domain and therefore incapable of transcriptional regulation of its downstream targets. Further molecular studies are required to distinguish these hypotheses but unfortunately neither cells nor RNA are available from this family.

In the first comprehensive screening of PTD in a Brazilian cohort, we identified mutations in only 10% of cases consistent with genetic heterogeneity in this cohort.

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Grasping Premanifest Huntington's Disease – Shaping New Endpoints for New Trials

Ralf Reilmann, MD,^{1*} Stefan Bohlen, MD,¹
Thomas Klopstock, MD,² Andreas Bender, MD,²
Adolf Weindl, MD,³ Philipp Saemann, MD,⁴
Dorothee P. Auer, MD,⁴ Erich B. Ringelstein, MD,¹
and Herwig W. Lange, MD¹

¹Department of Neurology, University Clinic Muenster (UKM), Westfaelische Wilhelms University of Muenster, Muenster, Germany; ²Department of Neurology, Friedrich-Baur-Institute, Ludwig-Maximilians-University, Munich, Germany; ³Department of Neurology, Technical University Munich, Germany; ⁴Department of Radiology, Max Planck Institute of Psychiatry, Munich, Germany

Abstract: Future clinical trials in subjects with premanifest Huntington's disease (preHD) may depend on the availability of biomarkers. It was previously shown in symptomatic HD that, the grip force variability coefficient-of-variation (GFV-C) in a grasping paradigm was correlated to the Unified-Huntington's-Disease-Rating-Scale-Total-Motor-Score (UHDRS-TMS) and increased in a 3 year follow-up study. To further elucidate its potential as a biomarker, we investigated whether GFV-C is able to detect a motor phenotype in preHD and is correlated to the genotype assessed by a disease-burden-score. The ability of preHD (n = 15) and symptomatic HD subjects (n = 20) to maintain stable grip forces, while holding an object (250 g and 500 g), was measured and compared with the controls (n = 19). GFV-C was increased in preHD at 500 g, in symptomatic subjects at both weights and was correlated to the disease-burden-score and UHDRS-TMS. GFV-C may be a useful objective and quantitative marker of motor dysfunction across genetically diagnosed premanifest and symptomatic HD subjects. © 2010 Movement Disorder Society

Key words: huntington's disease; motor control; grip force; clinical physiology; biomarker

Huntington's disease (HD) is an autosomal dominant progressive neurodegenerative disease.¹ So far, no treatment for slowing disease progression is available.²

*Correspondence to: Ralf Reilmann, Department of Neurology, University Clinic Muenster (UKM), University of Muenster, Albert Schweitzer Strasse 33, Muenster 48129.
E-mail: r.reilmann@uni-muenster.de

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Future disease modifying clinical trials may target subjects in premanifest stages to slow down or even prevent development of neurodegeneration.

Clinical trials in this setting will depend on reliable, objective, and quantitative biomarkers.³ The phenotype of HD is complex, including cognitive, behavioral, psychiatric symptoms, involuntary movements, and deficits in voluntary motor control.⁴ Motor symptoms are instrumental in establishing the diagnosis of manifest HD⁵ and often serve as primary or coprimary endpoint in clinical trials in symptomatic HD.⁶ Motor deficits are amenable to objective and quantitative analysis,⁷ and subtle deficits of motor control were detected in premanifest gene-carriers.^{3,8,9} Hence, it seems technically feasible to develop objective and quantitative measures of motor phenotype in HD to increase the sensitivity and power of future clinical trials using motor endpoints.

Guided by the clinical observation of the "milkmaid's grip,"⁴ depicting the fluctuating strength of grip often seen in HD subjects, we previously explored the feasibility to use this clinical sign to objectively assess severity of motor dysfunction in symptomatic HD. A neurophysiological method using force transducers was applied to measure grip forces during grasping and holding an object.¹⁰ In this cross-sectional study with subjects in different stages of HD, we showed that grip force variability expressed as coefficient-of-variation (GFV-C) was correlated to the severity of motor symptoms as assessed clinically on the Unified-Huntington's-Disease-Rating-Scale-Total-Motor-Score (UHDRS-TMS).⁵ Based on these results, we hypothesized that GFV-C may be a measure of disease severity and motor phenotype progression in HD. In a consecutive 3 year follow-up study, we demonstrated that over time GFV-C increased in all participating subjects.¹¹ GFV-C was more sensitive to detect motor phenotype progression than the UHDRS-TMS. Likewise, in gait studies variability of motor performance was the most sensitive measure of abnormality in premanifest and manifest HD^{12,13} suggesting that variability in motor output, that is, motor impersistency, maybe a more generalized feature of HD.

Based on these observation, the current study investigated whether changes in GFV-C during grasping (1) can be detected in premanifest HD and (2) are correlated to the genotype as assessed by a disease-burden-score (DBS) based on CAG-repeat length and age ($[\text{CAG-35.5}] \times \text{age}$).¹⁴

PATIENTS AND METHODS

Subjects

About 20 symptomatic subjects with HD, 9 women and 11 men, mean age 43.9 ± 8.48 (SD) (range 27–

61) years, 15 premanifest gene-carriers, 9 women and 6 men, 35.0 ± 7.0 (21–45) years, and 19 healthy and age matched control subjects, 12 women and 7 men, 41.7 ± 12.1 (20–63) years, participated in the study after giving their written informed consent in accordance with the declaration of Helsinki. The study was approved by the Institutional Review Board of the University of Muenster. Subjects were naïve regarding the aims of the study. CAG-expansion was known of all subjects in the premanifest and symptomatic HD group. Clinical assessment with the UHDRS-TMS⁵ was performed by a physician experienced in HD and UHDRS-TMS rating. Only gene-carriers with a UHDRS-TMS ≤ 3 and UHDRS diagnostic confidence level < 3 were allocated to the premanifest group.⁵ The participants' cognitive status was assessed by the modified Mini Mental State Examination (mMMSE).¹⁵ Four of the symptomatic subjects were taking small doses of neuroleptic medication, which, as was previously shown, have no systematic effect on the measures examined.¹⁰ All premanifest subjects were free of medication. Exclusion criteria for subject recruitment were: (1) coexisting neurological diseases, (2) orthopedic disorders, (3) psychosis, (4) cognitive impairment on the mMMSE $< 23/30$ points, or (5) other impairments that would interfere with the task. Neurological examination was normal in controls with no history of neurological, psychiatric disorders, or substance abuse.

EXPERIMENTAL SET-UP AND TASK

All subjects grasped and lifted a grip instrument with two force-torque sensors (Nano-40, ATI Industrial Automation, Apex, NC, USA), which measured the grip (normal) and load (tangential) force components (0.025 N resolution) of the thumb and index finger (Fig. 1). The object's weight could be modified to 250 g or 500 g. An electromagnetic sensor (Polhemus, VT) recorded the object's position (x, y, z , 0.75 mm resolution). The grip instrument was held adjacent to a marker 10 cm high for 35s. Subjects performed 5 practice trials and 13 consecutive recorded trials with each object weight (for a detailed description of the paradigm see Gordon et al.).¹⁰

Data was sampled at 400 Hz, stored and analyzed on a laboratory computer system (SC/ZOOM, University of Umeå, Sweden). Mean isometric grip forces and grip force variability in the static phase (expressed as coefficient-of-variation = $SD/mean \times 100$) (GFV-C) were calculated during a 15s period starting 8s after the first cueing tone. As grip forces of the thumb and index finger in a static holding task are similar (oppo-

site normal force vectors), grip force results presented in this study were restricted to forces of the thumb. Temporal measures assessed included: (1) contact phase – time between contact of the thumb and index finger, (2) preload phase – duration between contact and positive load force initiation, (3) load phase – time from load force onset to lift-off, and (4) transport phase – time from onset of lift to maximal grip force.¹⁰

STATISTICAL ANALYSIS

Statistical analysis was performed using SPSS 16.0. Groups were compared using one-way ANOVA using Bonferroni corrections and Scheffé's post-hoc tests; parametric Pearson tests were calculated to analyze correlations of measures with the DBS and UHDRS-TMS across all gene-carriers. Statistical significance was accepted at the $p \leq 0.05$ level (two-tailed). Results of the study were expressed in means \pm standard deviation.

RESULTS

Between Group Comparisons

GFV-C was increased in the group of premanifest subjects compared to controls with the 500 g object (pHD: 6.26 ± 2.80 ; C: 4.77 ± 1.34 ; $p \leq 0.05$), while only a trend was seen with the lighter 250 g object (pHD: 7.16 ± 4.22 ; C: 5.59 ± 1.63 ; $p = 0.147$) (Fig. 1). GFV-C distinguished between symptomatic and control groups ($p \leq 0.001$ for both weights) and between premanifest and symptomatic groups ($p \leq 0.05$ for both weights).

Mean grip forces did not distinguish between groups. In addition, none of the temporal measures assessed (contact time, preload, load, and transport phase), showed changes in the premanifest stage (Table 1). Preload phases were prolonged in symptomatic subjects compared to controls for both weights and compared to premanifest subjects at the 500 g weight ($p \leq 0.05$ for all cases). In return, the load phase was shortened in symptomatic subjects compared to controls at the 500 g weight ($p \leq 0.05$); a similar trend was observed at the 250 g weight ($p = 0.066$).

Genotype and Phenotype Correlations

GFV-C exhibited significant correlations with DBS for both weights ($r = 0.516/p \leq 0.01$ at 250 g; $r = 0.608/p \leq 0.001$ at 500 g) (Fig. 1) and with UHDRS-TMS ($r = 0.430/p \leq 0.01$ at 250 g; $r = 0.520/p \leq 0.001$ at 500 g). Mean grip force and temporal meas-

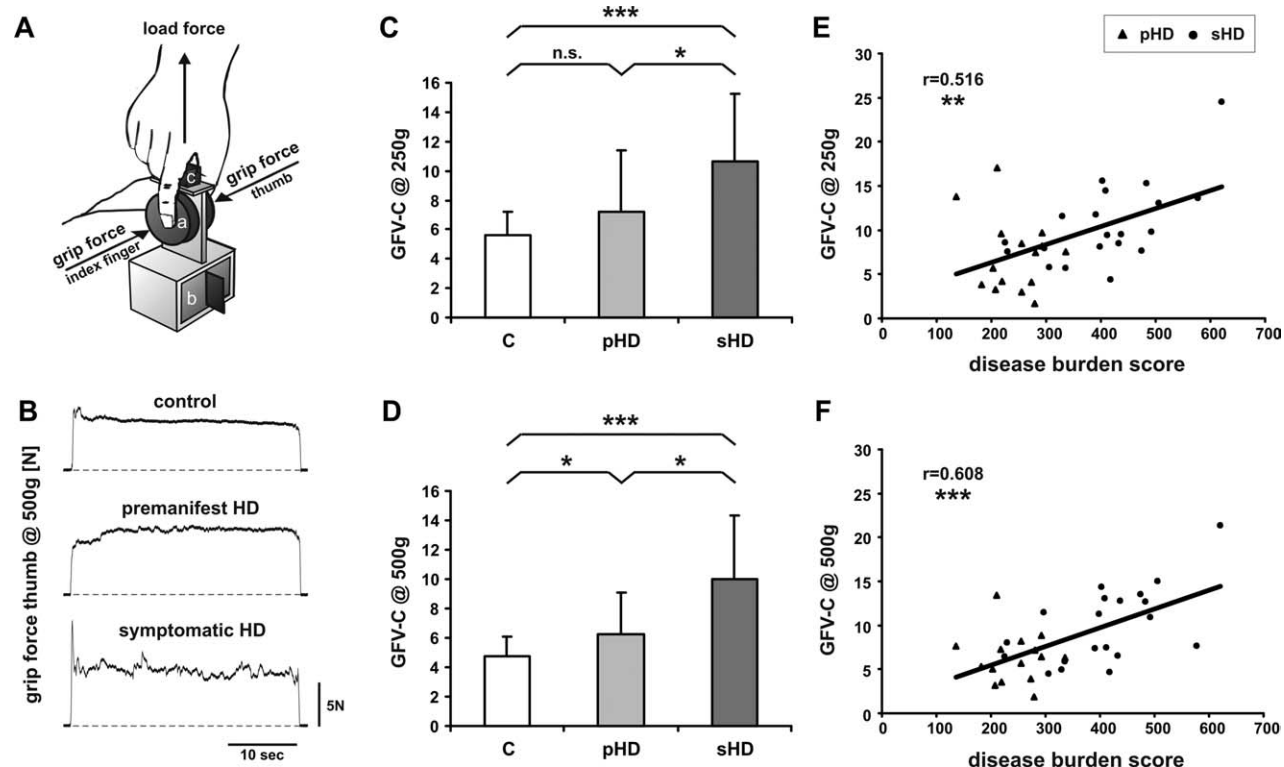


FIG. 1. Experimental set-up, sample recordings and results [A] Set-up of grip force device, showing (a) the force transducers for measuring the grip forces of the thumb and index finger, (b) the exchangeable weights (250 g or 500 g), and (c) the 3D position sensor; [B] representative sample curves of grip forces (thumb at 500 g), showing low variability in the static phase in the control subject, more variability in the premanifest subject, and highest variability in the symptomatic subject; [C] and [D] GFV-C (grip force variability coefficient-of-variation) as a measure of motor impersistence shown for the 250 g and 500 g object weights for controls (=C), premanifest HD (=pHD) and symptomatic HD (=sHD); [E] and [F] correlation of GFV-C with the disease-burden-score demonstrating a positive and fairly linear relationship between the quantitative motor measure and the genotype score across the pooled premanifest and symptomatic group. A transition from premanifest (triangles) to symptomatic subjects (circles) is seen with increasing GFV-C at both weights (bar diagrams show means \pm SD / * $p \leq 0.05$; ** $p \leq 0.01$; *** $p \leq 0.001$).

ures were generally not correlated with either measure except for the load phase (500 g with DBS and UHDRS-TMS) and the contact phase (500 g with DBS) (see Table 1).

DISCUSSION

It was previously shown that variability of grip forces assessed by the coefficient-of-variation (GFV-C) is a measure correlated to the UHDRS-TMS¹⁰ and progressing over time in symptomatic HD.¹¹ In this study, we showed for the first time that GFV-C is a measure sensitive in premanifest gene-carriers and correlated to the genotype of HD as expressed in the disease-burden-score (DBS).¹⁴ GFV-C distinguished the group of premanifest subjects from controls and symptomatic HD patients at the 500 g object weight and a trend for increased GFV-C was also seen in the lighter object weight. Correlation of GFV-C with the UHDRS-TMS was confirmed as described before.¹⁰

We acknowledge several limitations of the study. (1) The sample size of 15 premanifest subjects is limited. However, the selection criteria applied were rigorous: a UHDRS-TMS score ≤ 3 was required to obtain a true premotor group. Commonly a diagnostic confidence level < 4 is used in the UHDRS to recruit premanifest subjects, thus allowing subjects with motor signs with up to 98% specificity for HD to join this group.¹⁶ These subjects may exhibit fairly high UHDRS-TMS scores and thus a relevant motor phenotype. (2) The measures used for correlation, that is, the DBS and the UHDRS-TMS both have limitations. For example, it is appreciated that CAG-repeat length only accounts for part of the variability seen in age-at-onset of HD.¹⁷ The UHDRS-TMS may be affected by subjective error and limited sensitivity. Nevertheless, the observation of correlations between a neurophysiological motor measure and genotype as well as clinical phenotype scores, bearing considerable variability in themselves, seems noteworthy.

TABLE 1. Group means, comparisons, and correlations

	Weight [g]	C mean	pHD mean	sHD mean	pHD # C p	pHD # sHD p	sHD # C p	DBS (pHD + sHD pooled)		UHDRS-TMS (pHD + sHD pooled)	
								r	p	r	p
Grip force variability (GFV-C)	250	5.59 ± 1.63	7.16 ± 4.22	10.61 ± 4.60 (9.81 ± 3.47)	0.147	0.030* (0.066)	≤0.001*** (≤0.001***)	0.516 (0.317)	0.002* (0.082)	0.430 (0.327)	0.010** (0.073)
	500	4.77 ± 1.34	6.26 ± 2.80	9.99 ± 4.38 (9.49 ± 3.80)	0.048*	0.020* (0.012*)	≤0.001*** (≤0.001***)	0.608 (0.581)	≤0.001*** (≤0.001***)	0.520 (0.467)	≤0.001*** (≤0.008**)
Mean grip force [N]	250	3.97 ± 1.67	4.86 ± 2.48	5.31 ± 2.95 (5.53 ± 3.25)	0.218	0.640 (0.529)	0.091 (0.076)	-0.028 (0.018)	0.872 (0.925)	0.144 (0.187)	0.410 (0.314)
	500	5.38 ± 1.76	5.93 ± 2.79	7.05 ± 3.27 (7.30 ± 3.56)	0.492	0.485 (0.246)	0.150 (0.047*)	-0.016 (0.077)	0.927 (0.682)	0.131 (0.176)	0.453 (0.344)
Contact phase [ms]	250	64.0 ± 28.0	57.9 ± 17.4	64.0 ± 23.1 (68.1 ± 22.5)	0.465	0.369 (0.169)	0.999 (0.637)	0.144 (0.240)	0.408 (0.194)	0.114 (0.182)	0.513 (0.328)
	500	72.1 ± 25.3	62.3 ± 26.6	83.8 ± 33.3 (87.3 ± 32.4)	0.282	0.078 (0.026*)	0.321 (0.128)	0.337 (0.376)	0.048* (0.037*)	0.306 (0.310)	0.074 (0.089)
Preload phase [ms]	250	102.5 ± 60.6	140.9 ± 109.6	193.0 ± 155.4 (204.8 ± 170.7)	0.203	0.276 (0.228)	0.023* (0.020*)	0.007 (0.015)	0.966 (0.936)	-0.046 (-0.029)	0.794 (0.877)
	500	109.3 ± 68.2	115.1 ± 67.1	252.6 ± 219.2 (267.6 ± 239.5)	0.807	0.026* (0.024*)	0.011* (0.009**)	0.107 (0.114)	0.541 (0.542)	0.213 (0.213)	0.219 (0.251)
Load phase [ms]	250	244.8 ± 117.6	210.3 ± 210.0	173.0 ± 118.4 (182.8 ± 129.7)	0.549	0.509 (0.661)	0.066 (0.148)	-0.237 (-0.206)	0.171 (0.267)	-0.195 (-0.163)	0.261 (0.381)
	500	326.1 ± 178.9	292.8 ± 188.7	220.5 ± 127.7 (237.1 ± 134.3)	0.602	0.168 (0.349)	0.035* (0.111)	-0.387 (-0.336)	0.022* (0.064)	-0.335 (-0.275)	0.049* (0.134)
Transport phase [ms]	250	909.9 ± 291.8	1002.5 ± 513.6	1082.1 ± 328.5 (1121.8 ± 354.7)	0.512	0.580 (0.456)	0.092 (0.061)	-0.066 (-0.059)	0.705 (0.751)	0.052 (0.082)	0.768 (0.661)
	500	940.7 ± 308.0	992.3 ± 477.7	1141.0 ± 334.8 (1200.0 ± 346.7)	0.705	0.433 (0.174)	0.141 (0.025*)	-0.053 (0.010)	0.764 (0.956)	0.001 (0.053)	0.995 (0.776)

* = $p \leq 0.05$,

** = $p \leq 0.01$,

*** = $p \leq 0.001$.

C = controls; DBS = disease-burden-score; GFV-C = grip force variability expressed as coefficient-of-variation; ms = milliseconds; N = Newton; pHD = premanifest HD; sHD = symptomatic HD; UHDRS-TMS = Unified-Huntington's-Disease-Rating-Scale-Total-Motor-Score / values in italics for sHD without neuroleptics.

With respect to the genotype and phenotype correlations and the between group differences all showing increasing GFV-C values with advancing disease stage, we hypothesize that GFV-C may be a measure capable of tracking motor phenotype progression across the different stages of HD. While, we acknowledge that cross-sectional results may not be used to precisely predict the longitudinal behavior of GFV-C, progression of GFV-C in symptomatic HD was shown previously.¹¹

We conclude that GFV-C may be a useful objective and quantitative measure to assess motor phenotype in HD. Its validity and reliability as a biomarker of motor dysfunction should be further evaluated in prospective, blinded multicenter studies.

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Tolerability of Isradipine in Early Parkinson's Disease: A Pilot Dose Escalation Study

Tanya Simuni, MD,^{1*} Emily Borushko, MPH,¹
Michael J. Avram, PhD,² Scott Miskevics, BS,³
Audrey Martel, BS,¹ C. Zadikoff, MD,¹
Aleksandar Videnovic, MD,¹ Frances M. Weaver, PhD,³
Karen Williams, BA,¹ and D. James Surmeier, PhD⁴

¹Department of Neurology, Northwestern University, Feinberg School of Medicine, Chicago, Illinois, USA;

²Department of Pharmacology, Northwestern University, Feinberg School of Medicine, Chicago, Illinois, USA;

³Hines VA Hospital, Hines, Illinois, USA; ⁴Department of Physiology, Northwestern University, Feinberg School of Medicine, Chicago, Illinois, USA

Abstract: Recent data suggests that isradipine, a dihydropyridine calcium channel blocker, is neuroprotective in preclinical models of parkinsonism. Isradipine has not been systematically studied in patients with Parkinson's disease (PD). The aim of this study was to evaluate safety and tolerability of isradipine controlled release (CR) in patients with early PD. Qualified subjects (n = 31) received isradipine CR, titrated from 5 to 20 mg daily dose over 8 weeks as tolerated. Eighty-one percent of subjects completed the study. Tolerability of isradipine CR was dose dependent: 94% for 5 mg dose; 87% for 10 mg; 68% for 15 mg; and 52% for 20 mg. Isradipine had no significant effect on blood pressure or PD motor disability. The two most common reasons for dose reduction were leg edema (7) and dizziness (3). There was no difference in isradipine tolerability between subjects with and without dopaminergic treatment, or with and without hypertension. © 2010 Movement Disorder Society

Key words: Parkinson's disease; clinical trial; dihydropyridine calcium channel blockers

INTRODUCTION

Isradipine, a dihydropyridine (DHP) Ca²⁺ channel blocker (CCB) approved for treatment of hypertension, was shown to be neuroprotective in preclinical models of parkinsonism.¹ Two recent epidemiological studies demonstrated reduced risk of development of Parkin-

son's disease (PD) in subjects treated with CCBs compared to other antihypertensive agents.^{2,3} To proceed with the pivotal studies of isradipine as a disease modifying agent in PD, safety and tolerability in the PD population has to be established, with emphasis on potential unwanted hypotensive effect.

PATIENTS AND METHODS

This was an open label dose escalation safety and tolerability trial performed at the Northwestern University (NU) Movement Disorders Center. The Institutional Review Board approved the protocol. All subjects signed an informed consent. Two groups of subjects (ages 30–75) were recruited: subjects with early PD either not requiring dopaminergic therapy (DT-) or on a stable regimen of a single dopaminergic agent (DT+), either levodopa (l-dopa) or a dopamine agonist. Use of MAO-B antagonists, amantadine, or anticholinergics was allowed in both groups. Initially, the protocol excluded subjects with hypertension. After the planned interim data analysis demonstrated no significant effect of isradipine on blood pressure in the first 20 subjects, inclusion criteria were revised to recruit subjects with hypertension on a stable regimen of one or two antihypertensive agents.

Inclusion Criteria

Idiopathic PD (based on UK brain bank diagnostic criteria) for ≤5 years, Hoehn and Yahr stage (H&Y) <2.5, stable regimen of PD medications (if treated) for ≥1 month before enrollment.

Exclusion Criteria

Atypical parkinsonian syndromes; baseline BP <90/60 mm Hg; orthostatic hypotension at screening [>20 mm Hg drop in systolic and 10 mm Hg in diastolic blood pressure (BP) after 2 min of standing]; other medical conditions that, in the opinion of the investigator, would preclude safe use of the drug, cognitive dysfunction [Mini Mental Status Examination (MMSE) score <24]; motor fluctuations.

Procedures

Consented subjects underwent screening procedures including a review of eligibility criteria, medical history and medications, Unified Parkinson's Disease Rating Scale (UPDRS) Part I-III,⁴ H&Y,⁵ Schwab and England Scale (S&E),⁶ MMSE,⁷ vital signs (including two sets of orthostatic BP), EKG, hematology, and serum chemistry. Subjects maintained twice daily BP logs during the study.

*Correspondence to: Tanya Simuni, Department of Neurology, Northwestern University Feinberg School of Medicine, Chicago, Illinois. E-mail: tsimuni@nmff.org

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TABLE 1. Baseline demographic and clinical characteristics

Variable	Subjects N = 31	DT (+) N = 20	DT (-) N = 11	P-value
Gender male: female (N)	18:13	12:08	6:05	0.77 ^a
Age (years)	58.87 (8.23)	58.45 (9.38)	59.64 (5.94)	0.71
Age at Dx (years)	56.77 (7.92)	56.05 (8.89)	58.09 (5.90)	0.50
PD duration (years)	2.26 (1.57)	2.50 (1.73)	1.82 (1.03)	0.25
History of HTN	6	4	2	
UPDRS I-III	13.55 (5.97)	14.63 (6.49)	11.59 (4.50)	0.18
H&Y	1.95 (0.20)	1.92 (0.24)	2.00 (0.0)	0.19
S&E	93.50 (5.75)	92.89 (6.31)	94.55 (4.72)	0.46
MMSE	29.45 (0.81)	29.32 (0.95)	29.70 (0.48)	0.16
Dopaminergic therapy (DT)				
Dopamine agonists (DA)		16		
Levodopa		4		
Amantadine	7	5	2	
MAO-B inhibitors	10	5	5	
Anticholinergics	1	1	0	
DT duration (for the treated subjects)		2.05 (1.73)		
Daily dose of PD medications (mg)		185 (232) ^b		

Values are mean (standard deviations) unless specified otherwise.

^aP-value calculated using Chisquare.

^bExpressed as levodopa equivalent dose.⁸

P, values calculated using Two-tailed *t* test unless specified otherwise; UPDRS, Unified Parkinson's Disease Rating Scale; H&Y, Hoehn and Yahr Stage; S&E, Schwab and England Activities of Daily Living Scale; MMSE Mini Mental State Examination; DT, Dopaminergic therapy (levodopa or dopamine agonist).

Subjects returned 7–10 days after screening and if they still qualified were started on isradipine CR 5 mg, to be taken every morning. Daily isradipine dose was increased by 5 mg every 2 weeks up to 20 mg, if tolerated and provided that BP remained stable (<15% change compared to baseline based on BP logs). In case of intolerance, the dose was reduced by 5 mg increments at any point during the study to the maximum tolerated dose. The subjects remained on that dose for the duration of the study. Drug exposure was 8 weeks for the initial cohort (20 subjects) and was increased to 12 weeks for the subsequent cohort to allow longer observation on a stable dose regimen. During the final visit “on” the drug or in case of early termination, all assessments were repeated and isradipine was tapered by 5 mg every 3 days. The final visit was performed 2 weeks later “off” study drug. UPDRS was performed at baseline, weeks 4, 8, 12 and at the final visit. Adverse events (AE) were recorded at every study visit and weekly phone contacts.

The “primary outcome measure” was the tolerability of isradipine defined as the proportion of subjects completing the study and the proportion of subjects tolerating each dose of isradipine. A dose was considered tolerable if the subject was able to either increase the dose to the next level or remain on a dose for the duration of the study. The dose was also considered tolerable in case early termination was due to nondrug related issues. The “Secondary outcome measures” included comparison of tolerability of isradipine between subjects treated or not

treated with DT as well as with antihypertensive therapy; the effect of isradipine on PD motor disability. Safety outcomes included the frequency and type of AEs.

Statistical Analysis

De-identified data were entered into MS Access and converted into SAS v9.13 datasets for analysis. As this was a pilot study, we did not prespecify a tolerability threshold. Statistical significance was set at $P < 0.05$ and tested for tolerability between subjects in DT+ versus DT- groups as well as HTN+ versus HTN- subjects using Chi-square test. Changes in BP and heart rate were analyzed as the mean of all daily entries in BP diaries for each study visit and dose level. BP data were tested for statistical significance at each dose level, compared to subject's baseline values using two-tailed *t* test. Change in UPDRS, H&Y, and S&E scores was compared to baseline using two-tailed *t* test. Descriptive statistics were used to analyze safety outcomes.

RESULTS

Thirty-five subjects were screened and 31 were enrolled between January 2007 and March 2009. Subjects' baseline characteristics are presented in Table 1.

Tolerability of Isradipine CR

All subjects were included in the tolerability analysis. Twenty five subjects (81%) completed the study.

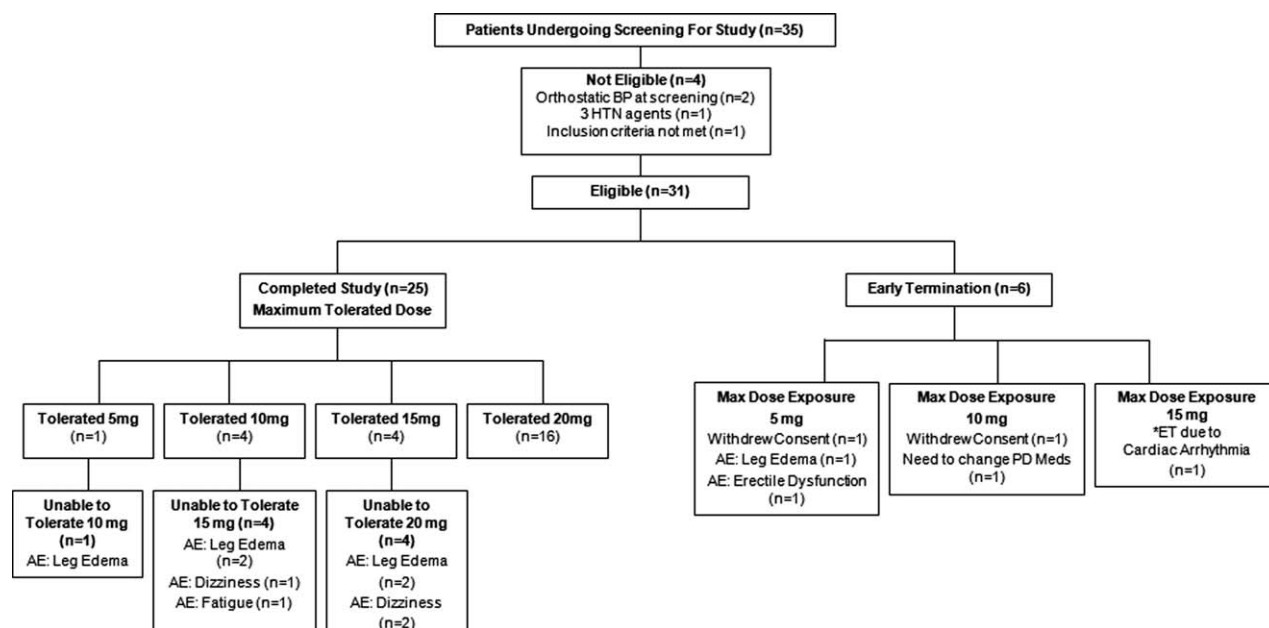


FIG. 1. Study flow chart.

There was no difference in the rate of completion between the DT- or DT+ groups (91% vs. 75%, $P = 0.28$). Concomitant hypertension did not affect the rate of study completion. Six subjects terminated the study early (Fig. 1). Two subjects terminated the study due to inability to tolerate the lowest dose of the drug (5 mg) due to leg edema (1) and erectile dysfunction (1). Both AEs resolved after discontinuation of the drug. Four subjects terminated the study due to issues unlikely related to the drug. The tolerability of isradipine CR was dose-dependent: 94% of subjects tolerated 5 mg; 87% tolerated 10 mg; 68% tolerated 15 mg; and 52% tolerated 20 mg daily dose.

There was no difference between the DT- and DT+ groups or between subjects with or without hypertension in tolerability or in the proportion of subjects reaching the highest dose of isradipine CR. Among the 25 subjects who completed the study, 16 (64%) ended on the 20 mg, 4 (16%) on the 15 mg, 4 (16%) on 10 mg, and 1 (4%) on 5 mg daily dose. Isradipine CR had no significant effect on blood pressure (supine, standing, or orthostatic) or heart rate at any dose. Exposure to DT and effect on BP were not correlated. There was no difference in the effect of isradipine on BP between HTN+ and HTN- subjects. Five subjects had single asymptomatic orthostatic BP recordings in the diaries. No subjects in the subgroup with hypertension required adjustment of their antihypertensive agent.

Isradipine CR Safety Data

There were no serious AEs. The three most common AEs were leg edema (17 subjects), dizziness (10), and fatigue (9). Most AEs were mild, dose dependent, and did not require dose adjustment. Eleven subjects, however, required a dose reduction (including two early terminations) for leg edema (7), dizziness (3), and fatigue (1). Symptoms occurred predominantly at daily doses above 10 mg (9 of 11).

There was no association between the incidence of leg edema and treatment with either dopamine agonists or amantadine. There was no correlation between dizziness and alterations in BP.

Isradipine CR had no effect on PD motor disability, as measured by the change in UPDRS, H&Y and S&E ratings over the course of the study. There was no change in PD motor disability after isradipine CR taper compared to the last observation on the drug.

DISCUSSION

This open-label dose-escalation study demonstrates dose-dependent tolerability of isradipine CR in patients with early PD. A major concern was the risk of isradipine-induced hypotension in view of PD-related autonomic dysfunction that can be present even in early disease. Surprisingly, isradipine had no impact on

blood pressure in our cohort. Further study will be required to determine tolerability of isradipine in more advanced PD patients. The safety profile of isradipine in PD population was consistent with the isradipine package insert.⁹ The most common AE was leg edema which is related to the potent vasodilatory effect of CCBs rather than fluid retention.¹⁰

There was no difference in tolerability of isradipine between subjects treated or not treated with dopaminergic medications. The rationale for including both groups was to determine the tolerability of isradipine in subjects who are typically recruited into studies of disease modifying agents, half of whom require initiation of DT during the course of the study.¹¹ Isradipine had no effect on PD motor disability in our cohort. This was expected given the short duration of treatment and the anticipated mode of action.

In conclusion, isradipine CR at doses up to 10 mg was well tolerated and safe in subjects with early PD. Tolerability of higher doses of isradipine needs to be investigated in a larger cohort of subjects. There was no evidence of an immediate symptomatic effect of isradipine on PD motor disability in this cohort. A randomized double blind study is underway to confirm these outcomes. These studies lay the foundation for the examination of the disease modifying efficacy of isradipine in PD.

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Author Roles: Tanya Simuni, MD: 1A, 1B, 1C, 2B, 2C, 3A. Emily Borushko, MPH: 1C, 3B. Michael J. Avram, PhD: 1A, 1B, 1C, 2C, 3B. Scott Miskevics, BS: 2A, 2B, 3B. Audrey Martel, BS: 1B, 1C. Cindy Zadikoff, MD: 1B, 1C, 2C, 3B. Aleksandar Videnovic, MD: 1B, 1C, 2C, 3B. Frances

M. Weaver, PhD: 1A, 2C, 3B. Karen Williams, BA: 1B, 1C. D. James Surmeier, PhD: 1A, 2C, 3C.

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Carbidopa/Levodopa Pharmacy Errors in Parkinson's Disease

Nasim R. Khadem, BA, and
Melissa J. Nirenberg, MD, PhD*

*Department of Neurology and Neuroscience, Weill Cornell
Medical College, New York, New York, USA*

Abstract: Outpatient pharmacy errors are common, but little is known about their occurrence in Parkinson's disease (PD). We prospectively studied carbidopa/levodopa pharmacy errors in a cohort of PD outpatients. Over 1 year, pharmacy errors occurred in 8/73 (11%) subjects treated with this medication, producing adverse drug events (ADEs) in 7/8 (87.5%) and increased healthcare utilization in 6/8 (75%) cases. The most common errors were substitution of controlled-release for immediate-release carbidopa/levodopa 25/100 mg (5/8; 62.5%) or dispensation of the wrong carbidopa/levodopa dosage (2/8; 25%). All errors involved ongoing prescriptions, including three interpharmacy transfers. Three subjects (37.5%) questioned pharmacy staff about the change in appearance of the tablets, but the error was corrected in only 1/3 of these cases. Carbidopa/levodopa outpatient pharmacy errors are a common, preventable cause of morbidity and excessive healthcare utilization in PD. Education of healthcare providers, patients, and pharmacy staff is warranted to reduce these errors and associated ADEs. © 2010 Movement Disorder Society

Key words: carbidopa/levodopa; healthcare utilization; Parkinson; outpatient; pharmacy error; prescription

INTRODUCTION

Medication errors cost the U.S. \$177 billion annually and underlie the majority of adverse drug events (ADEs).^{1–8} The most common causes of pharmacy errors include dispensation of the incorrect medication, strength, or dosage due to name confusion (“look-alike” or “sound-alike”) errors and/or insufficient knowledge of the drug.^{2,3,9–15} These errors not only cause morbidity and mortality, but also markedly increase healthcare utilization.^{7,8} Thus, prevention of pharmacy errors has the potential to decrease the frequency of ADEs and reduce associated healthcare expenditures.

Levodopa (combined with a dopa decarboxylase inhibitor such as carbidopa) is the most potent and effective medication for Parkinson's disease (PD), and is eventually required for treatment of virtually all PD patients.¹⁶ In the United States, levodopa is available in immediate-release (IR) and controlled-release (CR) carbidopa/levodopa formulations, each of which can be prescribed as either a brand-name drug (Sinemet[®], Sinemet CR[®]) or in one of several generic forms. IR preparations have a shorter half-life, more rapid onset of benefit, and more predictable absorption pattern than CR formulations. The bioavailability of CR formulations is about two-thirds to three-quarters that of IR formulations, such that higher dosages are necessary to achieve the same clinical effect.^{16,17} While there is considerable variability in the shape and size of the carbidopa/levodopa tablets supplied by different manufacturers, the color of each formulation is relatively consistent; IR 25/100 mg tablets, for example, are always yellow.¹⁸

After several patients in our practice experienced severe disability and excess healthcare utilization attributable to carbidopa/levodopa pharmacy errors, we decided to prospectively study this issue in PD. Our goal was to determine whether there were systematic changes that might reduce these errors and associated ADEs.

SUBJECTS AND METHODS

A cohort of nondemented outpatients with PD (n = 90) was recruited as previously described.¹⁹ Inclusion criteria included PD by United Kingdom Brain Bank Criteria²⁰ and the ability to give informed consent and complete a battery of research questionnaires. Exclusion criteria included a modified Mini-Mental State Examination score <25, use of a dopamine receptor blocking agent, other neurodegenerative disease, or prior PD neurosurgery.

We prospectively recorded and characterized all identified carbidopa/levodopa pharmacy errors in this cohort over a 1-year period beginning on August 1, 2008. Data was obtained from subjects, caregivers, pharmacy staff, and chart reviews. All errors were confirmed by direct visualization of the tablets by the physician and/or pharmacist. Levodopa-equivalent daily doses were calculated as [IR levodopa + (0.70 × CR levodopa)]. Primary outcome measures included the type, duration, and cause of the pharmacy error, as well as associated ADEs and healthcare utilization. Written, informed consent was obtained from all subjects, in accordance with the Weill Cornell Institutional Review Board.

*Correspondence to: Dr. Melissa J. Nirenberg, Department of Neurology and Neuroscience, Weill Cornell Medical College, New York, New York, USA. E-mail: mjniren@med.cornell.edu

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RESULTS

Over the course of 1 year, 73/90 (81.1%) of subjects in the cohort were treated with carbidopa/levodopa, of whom 8/73 (11%) experienced carbidopa/levodopa pharmacy errors (Table 1). Eight different pharmacies located in New York City (Manhattan, Queens, Bronx, Brooklyn), Massachusetts, and New Jersey were involved; local and chain pharmacies were equally represented. The prescriptions included four generated by a computerized physician order entry (CPOE) system (50%), three interpharmacy transfers (37.5%), and one telephone refill (12.5%). All were for generic rather than brand-name carbidopa/levodopa, as is prescribed for almost all patients in our practice.

Most errors (5/8; 62.5%) involved substitution of CR for IR carbidopa/levodopa 25/100 mg, resulting in underdosing. Other errors, each affecting 1/8 subjects (12.5%) included substitution of a higher (Subject A) or lower (Subject B) dosage than prescribed, and erroneous instructions to discontinue IR carbidopa/levodopa (Subject G). The mean duration of exposure to the incorrect dosage/formulation was 42 ± 35 days (range 0–90).

Of the eight subjects with carbidopa/levodopa pharmacy errors, 6 (75%) recognized a change in appearance of the tablets, and 3/6 (50%) questioned pharmacy staff whether there had been an error; however, the error was acknowledged and promptly corrected in only 1/3 (33.3%) of these cases. The other 2/3 subjects (66.7%) were misinformed that the change was due to differences in the appearance of generic carbidopa/levodopa tablets produced by various manufacturers.

All 7 (100%) subjects who took the incorrect formulation of carbidopa/levodopa experienced ADEs, including a single-car motor vehicle accident in which the wife of Subject C sustained a spinal compression fracture. ADEs were associated with psychological distress, inconvenience, and/or monetary losses in all cases, and increased healthcare utilization in 6/7 cases (85.7%). All subjects eventually returned to (or close to) baseline functional status after resuming the correct carbidopa/levodopa regimen.

All pharmacy errors were attributable to human error. In 7/8 cases (87.5%), this was entirely on the part of pharmacy staff. In the other case (subject G), the possibility of miscommunication between the pharmacist and the physician's staff could not be excluded. Most cases (7/8; 87.5%) involved the refill of a prescription that had previously been dispensed correctly. Only 1/8 (12.5%) involved a new prescription, and this was for the same formulation of carbidopa/levodopa as before (Subject A). In at least half of the cases, the

labeling on the prescription bottle was deceptive (e.g., the label did not match the contents).

In one case (Subject H), a large pharmacy supply bottle of CR carbidopa/levodopa 25/100 mg had been placed on a shelf that was normally reserved for IR carbidopa/levodopa 25/100 mg, resulting in repeated medication dispensation errors not only to this subject, but presumably also to all other patients who filled prescriptions for IR carbidopa/levodopa 25/100 mg at this pharmacy for at least a 90-day period. Even after we reported the pharmacy error to the pharmacist, the underlying problem remained uncorrected; this was discovered a month later, when a second patient in our practice experienced the same error from this pharmacy, prompting further investigation.

DISCUSSION

In this study, we identified an alarmingly high rate of outpatient carbidopa/levodopa pharmacy errors, with an 11% incidence in subjects prescribed this medication during the one-year study period. This incidence was considerably higher than expected, falling within the upper range of the total annual estimated incidence of outpatient prescription pharmacy errors in the United States (5 to 13%).^{4–6} ADEs were a common consequence, occurring in 7/73 subjects (9.6%) treated with carbidopa/levodopa, and 7/8 (87.5%) with a pharmacy error.

All identified carbidopa/levodopa errors occurred in patients on a stable medication dosage, presumably because patients familiar with a drug formulation were more likely to detect unexpected changes in its appearance or clinical effects. The actual incidence of pharmacy errors in the cohort was presumably higher, because additional errors likely remained undetected, particularly those without associated ADEs. Interpharmacy prescription transfers appeared to be high-risk events; although these occur infrequently, they accounted for 3/8 (37.5%) of the observed pharmacy errors.

The most common pharmacy error was the substitution of CR for IR carbidopa/levodopa, a striking example of a look-alike/sound-alike error. In this case the drug names and dosages are identical, and it is typically only the presence of two letters (e.g., “CR” or “ER”) that specifies the controlled-release form, and the *absence* of those letters that denotes the immediate-release form. Changes in the way in which these prescriptions are written and supply bottles are labeled might potentially reduce the frequency of these errors.^{11–15}

TABLE 1. Type, duration, and outcome of pharmacy errors

Subject	Rx type	Dosage on Rx (mg)	Dosage dispensed (mg)	LEDD prescribed (mg/day)	LEDD dispensed (mg/day)	Error type	Pharmacy type	How error identified	Patient asked pharmacy?	ADE	Duration (days)	Healthcare utilization
A 77/M	CPOE (new Rx, same dosage)	IR 25/100	IR 25/250	1000	2500	↑↑↑	Local	Color/size (by patient)	Y denied	Severe symptomatic OH, sleep disturbance	30	One call
B 85/M	Interpharmacy transfer	IR 25/250	IR 25/100	1000	400	↓↓↓	Local to chain (changed ownership)	Color (by wife)	N	Motor deterioration. Weak, slow, difficulty walking, impaired ADLs	60	Blood tests, local PCP visit, several outside neurology visits, one specialist visit, EMG/NCS, spine MRIs, bought a walker
C 79/M	Interpharmacy transfer	IR 25/100	CR 25/100	300	210	↓	Local to local (switched pharmacies)	Color (by MD)	N	Motor deterioration. Difficulty walking, fatigue, insomnia, MVA (spinal compression fx in wife)	30	One PCP visit, one specialist visit, blood & urine tests; ER visit (wife)
D 58/M	CPOE (refill)	IR 25/100	CR 25/100	550	385	↓	Chain	Color (by wife)	Y denied	Motor deterioration	30	One call
E 77/M	Interpharmacy transfer	IR 25/100	CR 25/100	450	315	↓	Chain to chain (switched pharmacies)	Color; hard to cut (by patient)	N	Motor deterioration; increased tremor	90	None
F 64/F	CPOE (refill)	IR 25/100	CR 25/100	400	280	↓	Local	Color (by patient)	Y corrected	None (never taken)	0	None
G 62/M	Phone refill	IR 25/100	C/L discontinued	400	0	↓↓↓	Chain	Worsening symptoms	N	Motor deterioration. Felt "terrible"	2	One call
H 68/M	CPOE (refill)	IR 25/100	CR 25/100	800	560	↓	Local	Color (by patient)	N	Motor deterioration; FOG, insomnia, traumatic falls	90	Three calls, one page, one urgent neurology visit

↓↓↓, large reduction in dosage; ↑↑↑, large increase in dosage; ↓, small reduction in dosage; ADE, adverse drug event; ADLs, activities of daily living; CPOE, computerized physician order entry system; CR, controlled-release carbidopa/levodopa; EMG/NCS, electromyography and nerve conduction studies; ER, emergency room; FOG, freezing of gait; fx, fracture; IR, immediate-release carbidopa/levodopa; LEDD, levodopa-equivalent daily dosage; MD, medical doctor; MRI, magnetic resonance imaging scan; MVA, motor vehicle accident; OH, orthostatic hypotension; PCP, primary care provider; Rx, prescription.

While the CPOE may significantly decrease certain medication errors, it can also introduce new problems.^{21–26} During our investigation of potential causes for carbidopa/levodopa pharmacy errors in our practice, we discovered that the Medi-Span[®] drug database (Indianapolis, IN) used by our EpicCare Ambulatory[®] electronic medical record (Verona, WI) would substitute the nontraditional abbreviation “OR” for the standard “PO” to denote oral administration. Thus, prescriptions for IR carbidopa/levodopa were printed as “carbidopa/levodopa 25/100 mg OR”, which could be misinterpreted as carbidopa/levodopa 25/100 mg CR. This nontraditional abbreviation might potentially have contributed to up to 3/8 (37.5%) pharmacy errors in our cohort; the other 5/8 errors (62.5%) were clearly unrelated. The problem was corrected after we brought the issue to the attention of our Information Technology group.

Perhaps the most disturbing finding in this study was the frequency of missed secondary opportunities to correct the error and avoid or curtail ADEs. More often than not, when patients questioned pharmacy staff about the change in appearance of the medication tablets, they were falsely reassured. Even after we warned the pharmacist about the medication error that affected subject H, the underlying problem remained uncorrected for at least another month, such that many other patients were presumably also affected.

Given the frequency and serious consequences of carbidopa/levodopa pharmacy errors, we have instituted measures to increase patient education about their PD medications, in both routine patient care and a widely distributed patient-oriented webinar²⁶ and newsletter.²⁷ Since we implemented these changes, several additional patients in our practice reported carbidopa/levodopa pharmacy errors, each noting that they had averted adverse consequences because of their newly-acquired awareness of the issue. Thus, even when pharmacy errors occur, patient education can prevent ADEs and reduce unnecessary healthcare expenditures.

Study strengths include the prospective design, acquisition of data from multiple sources, and direct, real-time confirmation of all pharmacy errors. Limitations include the relatively small sample size, restriction to errors identified during clinical practice, and potential selection bias in favor of subjects with ADEs. The findings are also limited to a single academic practice, and therefore may not be applicable to other populations. While we restricted our study to carbidopa/levodopa, healthcare providers should also be cognizant of potential pharmacy errors related to other PD medications. An unintended reduction in the dos-

age of a dopamine agonist, for example, might potentially cause severe nonmotor symptoms due to dopamine agonist withdrawal syndrome.¹⁹

In summary, carbidopa/levodopa pharmacy errors are a common, preventable cause of morbidity and excess healthcare utilization in PD. Education of physicians, patients, and pharmacy staff is warranted to reduce these errors and minimize their adverse consequences.

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Clinical Course of the First Asian Family with Parkinsonism Related to SNCA Triplication

Takeshi Sekine, MD,¹ Hajime Kagaya, MD,²
Manabu Funayama, PhD,^{1,3} Yuanzhe Li, PhD,³
Hiroyo Yoshino, BS,³ Hiroyuki Tomiyama, MD, PhD,¹
and Nobutaka Hattori, MD, PhD^{1,3*}

¹Department of Neurology, Juntendo University School of Medicine, Tokyo, Japan; ²Department of Neurology, Nakadori General Hospital, Akita, Japan; ³Research Institute for Diseases of Old Age, Graduate School of Medicine, Juntendo University, Tokyo, Japan

Abstract: Triplication of SNCA is a rare cause of familial Parkinson's disease compared with duplication. Its clinical course is believed to be more robust than duplication, though it is uncertain. Marked as the first among the Asian population, we identified a Japanese family (paternal grandfather, father, and son) with SNCA triplication based on genetic and clinical analyses. The proband had a completely triplicated region including SNCA. This allele did not share any common haplotypes with those of previously reported Japanese families with SNCA duplication. Clinical analysis indicated early onset, rapidly progressive parkinsonism with mild levodopa response. Further studies are needed to clarify the gene dose effect of SNCA. © 2010 Movement Disorder Society

Key words: SNCA; triplication; duplication; familial Parkinson's disease

INTRODUCTION

Parkinson's disease (PD) is the second most common neurodegenerative disorder after Alzheimer disease. About 5% of patients with PD have the familial form, which is caused by a single gene disorder of SNCA, LRRK2, UCH-L1, PRKN, DJ-1, PINK1, or ATP13A2. Duplication of the SNCA gene is relatively frequent in autosomal dominant PD, and is also seen in sporadic PD due to its low penetrance.^{1–5} However, triplication of SNCA is rare and to our knowledge, only three families have been described so far.^{6–8} The clinical course of triplication is believed to be more robust than duplication due to its gene dose effect,

*Correspondence to: Nobutaka Hattori, Department of Neurology, Juntendo University School of Medicine, 2-1-1 Hongo, Bunkyo-ku, Tokyo 113-8421, Japan. E-mail: nhattori@juntendo.ac.jp

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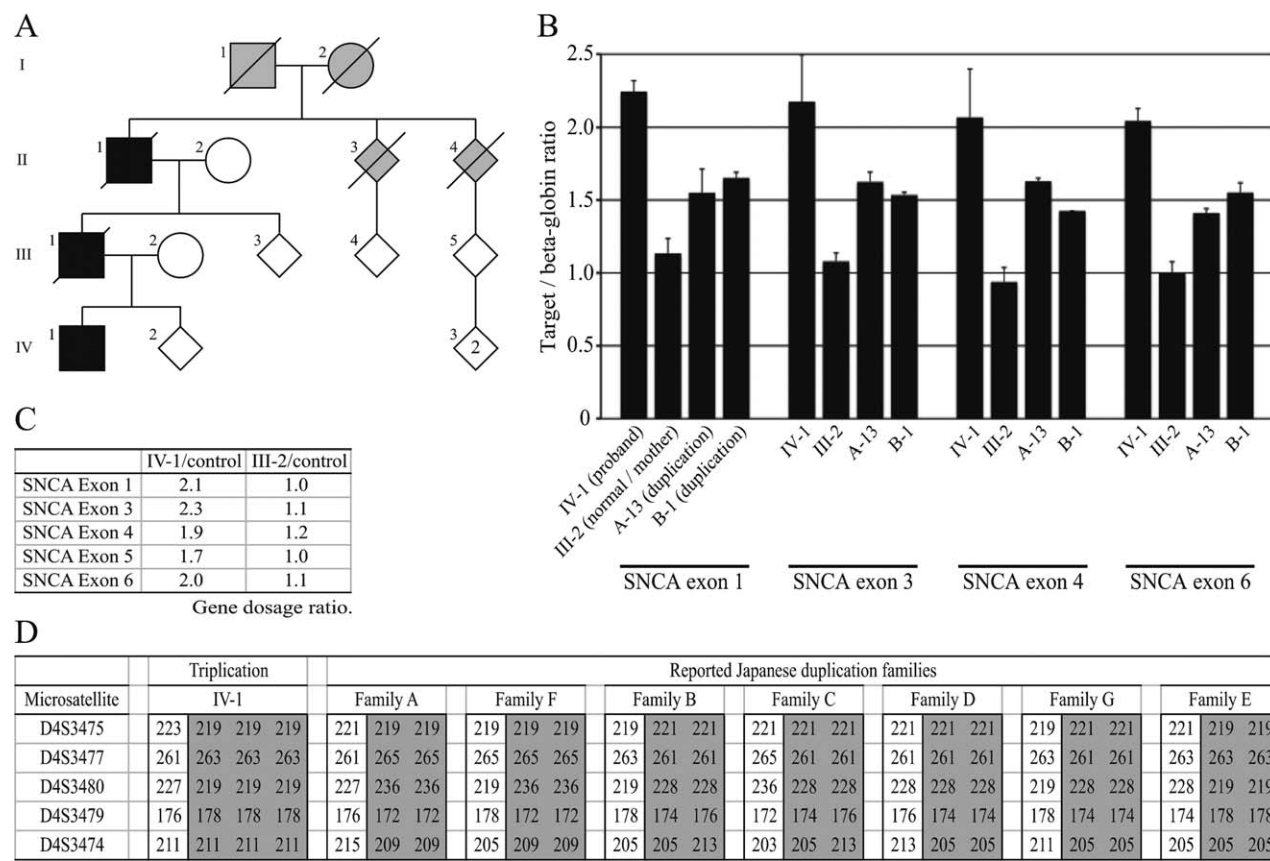


FIG. 1. Pedigree of SNCA triplication family (A). Black symbols: affected patients, gray symbols: unaffected individuals. Gene dosage analyses using real-time PCR (B) and MLPA (C). Haplotype analysis (D). The disease haplotypes are highlighted in gray.

but the precise picture remains to be defined as there is insufficient information about triplication.

Here we report the first Asian family with SNCA triplication presented with early-onset and severe clinical features of parkinsonism.

METHODS

Subjects

The pedigree studied is shown in Figure 1A. The DNA was extracted from peripheral blood samples obtained from the proband (IV-1) and his mother (III-2). Approval for the study was obtained from the ethics review committee of Juntendo University. To compare the gene dosages and haplotype of SNCA and its flanking region, we also examined patients from Japanese families with duplication of the SNCA gene.^{1,4}

Genetic Analyses for SNCA

Mutation screening was performed as described previously.¹ Semiquantitative multiplex polymerase chain

reaction (PCR) of genomic DNA samples was performed using real-time PCR to detect the dosage of SNCA (7500 Fast Real-Time PCR system, Applied Biosystems, Foster City, CA). In the first step, we targeted exon 4 of SNCA to screen the gene dosage. The “beta-globin” gene was amplified as an endogenous reference, and patients with SNCA duplication, who were confirmed by fluorescence in situ hybridization, were used as positive control (Patients A-13 and B-1).¹ The primer and probe sequences and the methods were described previously.¹ In the second step, we performed semiquantitative analysis on SNCA exons 1, 3, 6 and flanking genes (*LOC345278*, *MMRN1*, and *KIAA1680*) for the patients found in the first step to carry multiplication of this gene.

To confirm the gene dosage, we also performed multiplex ligation-dependent probe amplification (MLPA). Employing the SALSA MLPA P051-C1 Parkinson-1 probe mix (MRC Holland, Amsterdam, The Netherlands) using the DNA detection/quantification protocol provided by the manufacturer, products were quantified by the ABI 3130 Genetic Analyzer and Gene Mapper

v3.7 (Applied Biosystems, Foster City, CA). The MLPA data were analyzed as described previously.⁹

To determine whether the same haplotype was shared between our probands with *SNCA* multiplication, we performed haplotype analysis of the proband, mother, and samples of previously described families,^{1,4} using five microsatellite including D4S3475, D4S3477, D4S3480, D4S3479, and D4S3474.⁴

RESULTS

Gene Dosage Analysis

Gene dosage analysis, both real-time PCR method and MLPA, revealed that the proband (IV-1) had four *SNCA* alleles (Fig. 1B,C), whereas the mother (III-2) had two normal *SNCA* alleles (Fig. 1B,C). These findings indicated that the proband had triplication of *SNCA*. The range of triplication includes whole exons of *SNCA*, *MMRN1* and exon 1 of *KIAA1680* according to the result of real-time PCR (data not shown).

Haplotype Analysis

Haplotype analysis indicated that the haplotype of the proband was different from that of Japanese families with *SNCA* duplication, as reported by our group previously (Fig. 1D).⁴

Case Records

II-1

This is the grandfather of the proband. At 49 years of age, he developed bradykinesia, slowness of speech, and sialorrhea. Hyposmia was not obvious. At age 50, he noted resting tremor in the left hand and foot. In addition, he became akinetic and depressed. At age 51, he was diagnosed with PD and treated with 600 mg/day levodopa (L-dopa). The treatment alleviated parkinsonism, but at age 52, he developed frequent drop attacks due to severe orthostatic hypotension. Another autonomic nervous system-related symptom was severe constipation. After several drop attacks, he suffered head contusion, which was followed by progressive deterioration of cognitive function until age 54. The parkinsonism was Hoehn & Yahr stage V at age 55. He died during an attack of pneumonia at age 57.

III-1

This is the father of the proband. At age 33 years, he developed masked face, resting tremor, bradykinesia, and antecollis. However, he did not complain of hypo-

smia. He was diagnosed the same year with PD and treated with L-dopa, which resulted in alleviation of symptoms. At age 34, he became apathic and developed dizziness due to orthostatic hypotension. At age 35, he became a pathological gambler and suffered from insomnia. At age 36, he was arrested for stealing. Subsequently, he was admitted to the hospital for further evaluation and treatment. On examination, the clinical symptoms included bradykinesia, masked face, muscle rigidity, antecollis and disturbed postural reflex. However, the gait showed no remarkable disturbance. He also had orthostatic hypotension, impotence, and constipation. In addition to the motor and autonomic symptoms, he had neurosis, insomnia, and suicide intent. This symptom complex was collectively diagnosed as depression. The parkinsonism gradually worsened after discharge from the hospital and was staged as Hoehn & Yahr stage V at age 37. He died at age 40.

IV-1

The proband was a 31-year-old man with familial parkinsonism of three generation. At age 28 years, he developed tremor and rigidity in the left hand and foot. No complaint of hyposmia was reported. One year later, he developed bradykinesia, orthostatic hypotension, and mild decline of intellectual activity. At age 30, he was admitted to the hospital and diagnosed with Parkinson disease, Hoehn & Yahr stage III. He had resting tremor, muscle rigidity, bradykinesia (masked face and gait disturbance), and disturbed postural reflex. Remarkable orthostatic hypotension was noted (systolic blood pressure in supine position 120 mm Hg, falling to 80 mm Hg on standing). Constipation was not prominent. No pyramidal signs were noted. As for intellectual activity, the WAIS-R score was 75 (verbal IQ 78 and motor IQ 76), although the mini mental state examination score was 29/30. There was no history of hallucination, nightmare, or REM sleep behavior disorder. Magnetic resonance imaging of the brain did not showed any remarkable findings. Single photon emission computed tomography of cerebral blood flow showed reduced blood flow in the parieto-occipital area. Myocardial scintigraphy of ¹²³I-metaiodobenzylguanidine showed markedly low heart-to-mediastinum ratio of 1.27 in the early stage and 1.23 in the late stage.

Treatment of parkinsonism consisted of 150 mg/day of L-dopa to alleviate symptoms. The dose was later increased to 300 mg/day of L-dopa, and combined with pramipexol (3 mg/day), selegiline (2.5 mg/day), and droxydopa (800 mg/day). Doubling the selegirin dose resulted in a transient episode of drug hypersensitivity,

but the symptoms were almost relieved and the Hoehn & Yahr stage improved to III.

DISCUSSION

This is the first case report of parkinsonism with *SNCA* triplication in an Asian family. The paternal grandfather, father and son of one family developed parkinsonism with ages at onset (AAO) under 50, suggesting autosomal dominant hereditary pattern and high penetrance. Based on the quantitative PCR study, we confirmed that this family have triplicated genetic region, which includes the *SNCA* gene.

To clarify the mechanism of copy number variation of the *SNCA* gene, we performed haplotype analysis of this triplication family and other unrelated Japanese family members with *SNCA* duplication. If the triplicated allele were generated from a duplicated allele, the proband of triplication might share a common haplotype with other families. However, the result of the analysis indicated that the triplication family had a different allele. Thus, the mechanism of *SNCA* triplication, whether it is self-duplicated by two-step or generated from recombination process, remains an important issue to be analyzed in future studies.

Limited to the three consecutive generations (proband, father, and paternal grandfather), the penetrance is high. However, only three individuals were affected among 15 members of the family, and incomplete penetrance is the more favorable explanation. On the other hand, the AAO of the three affected members were under 50 years, which is younger than AAO of patients with *SNCA* duplication (mean \pm SD: 48.5 \pm 11.2 years)⁴.

The AAO was markedly different between the generations. The paternal grandfather (II-1) developed parkinsonism at about 50 years of age, which is almost similar to *SNCA* duplication. On the other hand, both the proband and his father developed parkinsonism around 30 years of age. This phenomenon might imply anticipation between II-1 and III-1. In the case of a Swedish family, the ancestors of the family members with triplication were considered to have carried duplication.^{8,10} Alternatively, it could reflect insufficient information about parkinsonism in the grandfather due to diagnostic difficulties in the past.

The clinical features of this family included early-onset parkinsonism with cognitive or mental disorders, and an aggressive disease course within a period of about 10 years. Treatment with L-dopa was effective at least in the early stage, though it did not improve the outcome. The two features; early AAO and cognitive or mental disturbances, are explainable by the dosage

effect of α -synuclein; a higher production of the protein is associated with earlier AAO. Furthermore, a larger amount of the product results in a wider pathological picture. The clinical course of PD is mainly influenced by the AAO in general, but the mechanism of early ineffectiveness of L-dopa remains elusive. A more generalized pathology, which commonly involves the autonomic nervous system, manifested by orthostatic hypotension, is one possible explanation of the poor prognosis.

Finally, *SNCA* triplication is a rare event compared to the frequency of duplication. The clinical phenotype of triplication is severe among the Japanese population. In other words, the copy number of *SNCA* could determine the severity of the PD phenotype similar to the age at onset in the Asian population.^{1,4} Further research on *SNCA* triplication is needed including its mechanism and differences in the clinical features between duplication and triplication.

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Research project: Conception, Organization; Manuscript: Review and Critique.

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Spinocerebellar Ataxia Type 10: Frequency of Epilepsy in a Large Sample of Brazilian Patients

Hélio A.G. Teive,^{1*} Renato P. Munhoz,¹ Salmo Raskin,¹ Walter O. Arruda,¹ Luciano de Paola,¹ Lineu C. Werneck,¹ and Tetsuo Ashizawa²

¹Department of Internal Medicine, Movement Disorders Unit, Neurology Service, Hospital de Clínicas, Federal University of Paraná, Curitiba, Paraná, Brazil; ²Department of Neurology, University of Florida, Gainesville, Florida, USA

Abstract: Spinocerebellar ataxia type 10 (SCA10) is an autosomal dominant disorder caused by an ATTCT repeat intronic expansion in the SCA10 gene. SCA 10 has been reported in Mexican, Brazilian, Argentinean and Venezuelan families. Its phenotype is overall characterized by cerebellar ataxia and epilepsy. Interestingly, Brazilian patients reported so far showed pure cerebellar ataxia, without epilepsy. Here, authors provide a systematic analysis of the presence, frequency and electroencephalographic presentation of epilepsy among 80 SCA10 patients from 10 Brazilian families. Overall, the frequency of epilepsy was considered rare, been found in 3.75 % of the cases while this finding in populations from other geographic areas reaches 60% of SCA10 cases. © 2010 Movement Disorder Society

Key words: spinocerebellar ataxia type 10; SCA; autosomal dominant cerebellar ataxia; epilepsy

Spinocerebellar ataxia type 10 (SCA10) is an autosomal dominant disorder caused by a large expansion of a pentanucleotide (ATTCT) repeat in the intron 9 of the SCA10 gene on chromosome 22.^{1–5} SCA10 is the only neurodegenerative disease caused by an expansion of a pentanucleotide repeat. Pathogenic alleles range from 800 to 4500 ATTCTs (normal 10 to 29).^{1,3} SCA10 has previously been reported in Mexican families, in which the disease presented with a unique combination of pure cerebellar ataxia, epilepsy and, at times, polyneuropathy, pyramidal signs, and cognitive dysfunction.^{1–5} In 2004, we described the clinical phenotype of five Brazilian families with SCA10 presenting with pure cerebellar ataxia but no associated epilepsy.⁶

*Correspondence to: Dr. Hélio A.G. Teive, Rua General Carneiro 1103/102, Centro, Curitiba, Paraná 80060-150, Brazil.
E-mail: hageive@mps.com.br

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TABLE 1. *Clinical and genetic aspects of Brazilian, Mexican, Argentinian, and Venezuelan patients with SCA 10*

	Brazilian patients	Mexican patients	Argentinean patients	Venezuelan patients
Number of patients	80	19	5	5
Age of onset (yr)	35.5 (22–46)	26.7 (14–44)	35	14 (case report)
Number of ATTCT repeats	1,820 (20)	2,838	1,100	4,400
Correlation between size of ATTCT repeats and age of onset	Inverse correlation	Inverse correlation	–	–
Cerebellar ataxia	100%	100%	100%	100%
Pyramidal signs	6 (mild hyperreflexia), 3 (mild spasticity)	6 (“soft” pyramidal signs), 2 (pyramidal signs)	2	–
Epilepsy	3.75%	72.2%	100%	80%
Peripheral Neuropathy	0%	66%	–	–
Ethnical origin (by history)	Indian ancestry 75%	Indian ancestry 100%	Mixed Spanish and Amerindian	Unknown

The objective of our study is to analyze the frequency and characteristics of epilepsy in a large sample of Brazilian patients with SCA10.

METHODS

We studied 80 patients from 10 unrelated families with SCA10, selected out of 180 Brazilian genetically proven SCA families followed at the Hospital de Clínicas, Federal University of Paraná in Curitiba, Brazil, from 1990 to 2009. This cohort includes all cases of SCA 10 diagnosed so far in our service. Signed informed consent was obtained based on a protocol approved by the local Ethics Committee. Five of these ten families have already been published by the authors elsewhere.⁶ All patients were evaluated by 3 neurologists (HT, WOA, and RPM) and a medical geneticist (SR). History, physical examination, and routine laboratory tests, including complete blood count, blood urea nitrogen, creatinine, electrolytes, glucose, liver, and thyroid function tests, and venereal disease research laboratory (VDRL), were performed. The diagnosis of epilepsy was ascertained via clinical history. Detailed family history of each patient was obtained and the information was double-checked with close relatives. The following studies were also performed in all patients: brain computed tomography and magnetic resonance imaging (MRI), electroencephalography (EEG), and routine cerebral spinal fluid (CSF) analysis. Molecular analysis of the ATTCT repeat expansion in the SCA10 gene was performed by polymerase chain reaction (PCR) amplification using primers attct-L (5'-AGAAAA CAGATGGCAGAATGA-3') and attct-R (5'-GCCTGGGC AACATAGAGAGA-3'), as described previously. Patient deoxyribonucleic acid samples that showed a single normal SCA10 allele by PCR underwent Southern blot analysis to assess large expansions.

RESULTS

From the total 80 patients examined, 40 (50%) were male with mean age of onset of 35.5 years, and mean disease duration of 15.3 years. Among the 10 families, number of affected members studied varied from 1 (Ref. 7) to 21 subjects (mean 8 per family). All patients presented with cerebellar syndrome (predominantly gait ataxia, with dysarthria and nystagmus). Six (7.5%) patients had mild lower limbs hyperreflexia with spasticity in three. Three (3.75%) of the 80 patients had a history compatible with seizures, including generalized tonic-clonic seizures in two cases and a combination of myoclonic, complex partial, and generalized tonic-clonic seizures, with occasional status epilepticus in the third patient. The later case has been previously published as a 28-year-old woman with progressive cerebellar ataxia starting at childhood, followed by seizures/epilepsy (at age 23 years) and progressive cognitive dysfunction (at age 24 years), and definite dementia (at age 27 years).⁷ Both cases with tonic-clonic seizures belonged to the same family. This family is the largest of our cohort with 21 affected members studied so far. Molecular genetic testing of this patient showed an expanded allele of 850 ATTCT repeats. The other 2 patients with SCA 10 and epilepsy had expanded alleles with 1250 (35-year-old female patient) and 1500 repeats (55-year-old male patient).

Brain MRI of all index cases (n: 10) showed cerebellar atrophy. Brainstem atrophy was found in 3 cases and brain atrophy in 1 case. Interictal EEG of these 3 cases was abnormal in only 1 patient, showing diffuse disorganization but no clear cut epileptiform activity (patient published previously).⁷ Patients with SCA10 with epilepsy did not differ from molecular and demographic standpoints in regards to those with pure cerebellar ataxia.

The comparison between Brazilian, Mexican (published by Rasmussen et al.),⁴ Argentinean, and Venezuelan patients, with SCA10 is showed in Table 1.

DISCUSSION

SCA10 is an autosomal dominant neurodegenerative disease initially described only in Mexican families.¹⁻⁶ In 2002, Matsuura et al.⁸ studied the presence of SCA in several non-Mexican populations, including White American, French-Canadian, Italian, Japanese, and Spanish patients, in whom no pathogenic ATTCT expansion repeat was detected. Later, Teive et al.⁶ reported on 28 SCA 10 patients from five new Brazilian families with a new phenotype: pure cerebellar ataxia, without epilepsy. This study also showed that SCA10 is the second most common autosomal dominant cerebellar ataxia in Brazil (after SCA type 3), as had already been shown for the Mexican population where SCA type 2 is the most common form. In both countries, all SCA10 families reported Amerindian ancestry.^{4,6,7,9} Two additional reports on non-Brazilian South American populations diagnosed with SCA 10 were published more recently. Gatto et al.¹⁰ reported on two SCA 10 Argentinean patients presenting with cerebellar ataxia and epilepsy, associated with additional motor signs (dystonia in 1 case and parkinsonism on the other). Gallardo and Soto¹¹ described a patient from Venezuela, also genetically confirmed with SCA 10, in whom cerebellar ataxia and cognitive dysfunction coexist with epilepsy. Almeida et al.¹² studied the ancestral origin of the ATTCT repeat expansion in SCA10 concluding that there may be a common ancestral for SCA10 in Latin America, probably with Amerindian origin, who later on spread into the mixed populations of Mexico and Brazil.

Here, we report a large series of Brazilian patients with the SCA10 mutation, showing that epilepsy, one of the particular aspects of this disorder in Mexico, Argentina, and Venezuela, is very uncommon, leaving the presentation of a pure cerebellar ataxia. The incidence of epilepsy in developed countries is reportedly between 0.04 and 0.07%/year. In resource-poor countries, these figures are higher, around 0.12%/year with prevalence rates between 0.6 and 1%.¹³ These figures were recently confirmed in a descriptive study of epilepsy epidemiology, with the caveat that regional environmental exposures and socioeconomic status may have biased the statistics.¹⁴ Specifically, data regarding to the epidemiology of epilepsy in Brazil is somewhat scattered. A recent project by Li et al.,¹⁵ part of a WHO/ILAE/IBE Global Campaign, disclosed a preva-

lence of 0.92%. Thus, the 3.75% rate of epilepsy in our sample seems to rest above the expected frequency in the general population but significantly below the 60% reported in Mexican families with SCA 10.

These data demonstrated that the phenotypic expression of the SCA10 mutation in Brazilian families, with predominantly pure cerebellar ataxia, is rather different from Mexican, as well as from Argentinean and Venezuelan cases, where cerebellar ataxia and epilepsy represent the most common phenotype (up to 60% in the Mexican patients). Our 3 patients with epilepsy presented with generalized tonic-clonic seizures in 2 cases, and in only 1 case, previously published, we found myoclonic seizures, complex partial seizures and generalized tonic-clonic seizures. This patient had a progressive cerebellar ataxia, with epilepsy and dementia. Brain MRI of these cases showed predominantly cerebellar atrophy, and the EEG tracings did not reveal specific abnormalities.

Based on our cohort, the differing phenotype of Brazilian and Mexican patients cannot be explained based on the ATTCT repeat expansion size, mostly because the repeat size of these two SCA 10 populations overlapped. Of importance, in the Mexican SCA 10 patients with epilepsy there was a wide range of the repeat expansion sizes, suggesting that this molecular variable is probably not independently related with epilepsy.⁶ Other alternative explanations, such as somatic and germline instability of the ATTCT repeat in SCA 10 and the effect of interruptions in the expanded ATTCT repeats, may contribute to this phenotypic variation and should be studied in future investigations.¹⁶⁻¹⁸

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Olfactory Heterogeneity in *LRRK2* Related Parkinsonism

Laura Silveira-Moriyama, MD, PhD,¹
 Renato Pupi Munhoz, MD,² Margarete de J. Carvalho, MD,³
 Salmo Raskin, MD,² Ekaterina Rogaeva, PhD,⁴
 Patricia de C. Aguiar, MD, PhD,⁵
 Rodrigo A. Bressan, MD, PhD,^{5,6} Andre C. Felicio, MD,^{5,6,7}
 Orlando G.P. Barsottini, MD,⁷
 Luiz Augusto F. Andrade, MD,⁵
 Hsin F. Chien, MD,³ Vincenzo Bonifati, MD, PhD,⁸
 Egberto R. Barbosa, MD, PhD,³ Helio A. Teive, MD,²
 and Andrew J. Lees, MD^{1*}

¹Reta Lila Weston Institute of Neurological Studies, UCL Institute of Neurology, London, United Kingdom; ²Movement Disorders Unit, Neurology Service, Internal Medicine Department Hospital de Clínicas, Federal University of Paraná, Curitiba-PR, Brazil; ³Department of Neurology, University of Sao Paulo School of Medicine, Sao Paulo-SP, Brazil; ⁴Centre for Research in Neurodegenerative Diseases, University of Toronto, Toronto, Ontario, Canada; ⁵Instituto do Cérebro - Instituto Israelita de Ensino e Pesquisa Albert Einstein, Hospital Israelita Albert Einstein, São Paulo-SP, Brazil; ⁶Laboratório Interdisciplinar de Neurociências Clínicas - LiNC, Universidade Federal de Sao Paulo, UNIFESP, São Paulo, Brazil; ⁷Department of Neurology and Neurosurgery, Universidade Federal de São Paulo, SP, Brazil; ⁸Department of Clinical Genetics, Erasmus MC, Rotterdam, The Netherlands

Abstract: *LRRK2* mutations can cause familial and sporadic Parkinson's disease (PD) with Lewy-body pathology at post-mortem. Studies of olfaction in *LRRK2* are sparse and incongruent. We applied a previously validated translation of the 16 item smell identification test from Sniffin' Sticks (SS-16) to 14 parkinsonian carriers of heterozygous G2019S *LRRK2* mutation and compared with 106 PD patients and 118 healthy controls. The mean SS-16 score in *LRRK2* was higher than in PD ($p < 0.001$, 95% CI for $\beta = -4.7$ to -1.7) and lower than in controls ($p = 0.007$, 95% CI for $\beta = +0.6$ to $+3.6$). In the *LRRK2* group, subjects with low scores had significantly more dyskinesia. They also had younger age of onset, longer disease duration, and reported less frequently a family history of PD, but none of these other differences reached significance. Odor identification is diminished in

LRRK2 parkinsonism but not to the same extent as in idiopathic PD. © 2010 Movement Disorder Society

Key words: olfaction; smell; *LRRK2*; PARK8; parkinsonism

A number of mutations in 12 different loci can cause familial parkinsonism. Of these, mutations in the α -synuclein and *LRRK2* gene have been associated at post-mortem with Lewy bodies (LB) in the substantia nigra, which has been traditionally considered the hallmark of idiopathic Parkinson's disease (PD).¹ Hyposmia is as common as rest tremor in PD (about 85% of patients),² and it is likely to be caused by the pathological alterations found in the olfactory bulb and primary olfactory cortex,^{3,4} which are believed to be invariable sites of pathology of LB disease.⁵ Concordantly, LB have also been found in the rhinencephalon in four *LRRK2* cases examined post-mortem.⁶

Previous reports of smell tests in *LRRK2* mutation carriers are sparse, have varied methodology and showed mixed results (see Table 1, which contains references 7–12); only one performed statistical comparison with sporadic PD and controls adjusted for age and gender.⁶ We have analyzed the sense of smell in a series of *LRRK2* carriers with levodopa (L-dopa)-responsive parkinsonism and compared to sporadic PD and control subjects.

PATIENTS AND METHODS

Genetic Testing

The *LRRK2* mutation carriers were identified in previous screening studies as described by Munhoz et al,¹³ Aguiar et al,¹⁴ and Di Fonzo et al.¹⁵

Smell Testing

A previously validated Brazilian-Portuguese translation of the 16 item smell identification test from Sniffin' Sticks (SS-16)¹⁶ was used.

Subjects

Smell testing was performed in 14 parkinsonian carriers of heterozygous G2019S *LRRK2* mutation. Eleven (78.6%) were female and two (14.3%) were smokers. Clinical data of some of these patients is published elsewhere,^{13,14} Ten patients had at least one relative with PD, and seven had at least one affected first degree relative. All patients had bradykinesia, 12 had rest tremor, 12 had rigidity, but only 6 had gait impairment or postural instability. Twelve reported significant improvement with

Additional Supporting Information may be found in the online version of this article.

*Correspondence to: Prof. Andrew Lees, Reta Lila Weston Institute of Neurological Studies, UCL Institute of Neurology, 1 Wakefield St, London, WC1N 1PJ, United Kingdom. E-mail: alees@ion.ucl.ac.uk

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TABLE 1. Summary of literature data regarding olfaction in *LRRK2*

Article	Mutations	Number of cases	Test used	Method of analysis	Finding
Lohmann et al., 2009 ⁷	G2019S	5 affected, 12 nonaffected carriers, and 8 noncarriers (family F-030)	UPSIT	Classification of subjects into categories of smell loss according to percentile of age and gender matched controls (raw score not provided)	3 of 5 affected carriers, 8 of the 10 unaffected carriers, and 5 of 8 noncarriers who were examined for olfaction had moderate to severe anosmia
Healy et al., 2008 ⁸	Various	43 affected carriers	UPSIT	Not disclosed	Hyposmia detected in "only 51% of patients with mutations in <i>LRRK2</i> "
Lin et al., 2008 ⁹	R1441H	1 affected member, and one nonaffected carrier (family TA)	UPSIT	Raw score	Score 29/40 for the affected member and 27/40 (aged 32) for the nonaffected member
Silveira-Moriyama et al., 2008 ⁶	G2385R	2 affected carriers (family TB)	UPSIT	Raw score	Scores 15/40 and 15/40
Berg et al., 2005 ¹⁰	G2019S	19 affected carriers (sporadic and familial PD from various different families)	UPSIT	Multiple linear regression comparing <i>LRRK2</i> with control and PD groups when adjusting for age and gender.	<i>LRRK2</i> patients scored lower than controls and not different from PD
	R793M	1 affected carrier	8 item Sniffin Sticks	Raw score	Score 2/8
	S1096C	1 affected carrier	UPSIT	Raw score	Score 35/40
	S1228T	2 affected carrier	8 item Sniffin Sticks	Raw score	Scores 5/8 and 7/8
	I2020T	1 affected carrier	8 item Sniffin Sticks	Raw score	Scores 7/8
	Y1699C	4 affected carriers (from Lincolnshire kindred)	UPSIT	Raw score	Scores 34/40, 24/40, 25/40, and 36/40
Markopoulou et al., 1997 ¹²	R1441C	3 affected carriers	UPSIT	Raw score	Scores 25/40, 28/40, and 34/40
		3 nonaffected carriers	UPSIT	Raw score	Scores 39/40, 32/40, and 31/40

UPSIT; University of Pennsylvania Smell Identification Test.

Some of the mutations in the *LRRK2* gene have not yet been proven to be pathogenic.

L-dopa; of these six developed dyskinesias. Six had visual hallucinations but none were demented. Mean age was 66 years [range 42–84 years, standard deviation (SD), 14.6 years], mean age of onset was 55.6 years (range 32–80 years, SD 14.7 years), and mean disease duration was 10.4 years (range 3–32 years, SD 7.9 years).

For the comparison groups, we used data from 106 PD patients and 118 control subjects tested for a previous study and published elsewhere.¹⁶ In the PD group, mean age was 61.3 years (range 33–83 years, SD 11.0 years), 35 (33%) subjects were female and four (3.8%) were smokers. Mean age of onset was 49.8 years (range 25–76 years, SD 11.4 years) and mean disease duration was 11.4 years (range 1–28 years, SD 6.0 years). In the control group mean age was 63.0 years (range 33–89 years, SD 9.8 years), 15 (12.7%) subjects were female and 22 (18.6%) were smokers.

Consent was obtained from all participants and the protocol was approved by the local ethics committees. Participants with active upper respiratory tract infection or previous history of head trauma leading to loss-of-consciousness were excluded.

Statistical Analysis

To compare the SS-16 score between subject groups (*LRRK2*, PD, controls) we used a multiple linear regression analysis for the SS-16 as dependent variable and as covariates gender, age, smoking status, and group (two indicator variables to compare *LRRK2* with the other groups). Assumptions underlying the regression analyses were checked by a study of the residuals. We applied to the SS-16 results an arbitrary cut-off (≤ 9 for subjects aged <60 and ≤ 8 for those aged ≥ 60) previously recommended to differentiate PD subjects from controls in Brazil,¹⁶ and calculated the difference in proportions of subjects with normal or abnormal SS-16 between the groups using Chi-Squared tests. Clinical features in the *LRRK2* patients with and without low SS-16 were compared using t-tests and Chi-Squared statistics. A significance level of 0.05 was used throughout.

RESULTS

The mean SS-16 score in *LRRK2* was higher than in PD ($p < 0.001$, 95% CI for $\beta = -4.7$ to -1.7) and lower than in controls ($p = 0.007$, 95% CI for $\beta = +0.6$ to $+3.6$) when adjusting for the covariates age ($p < 0.001$, 95% CI for $\beta = -0.1$ to -0.0), gender ($p = 0.07$, 95% CI for $\beta = -1.5$ to $+0.1$) and smoking ($p = 0.8$, 95% CI for $\beta = -0.9$ to $+1.2$). Figure 1 shows the box plot of SS-16 results.

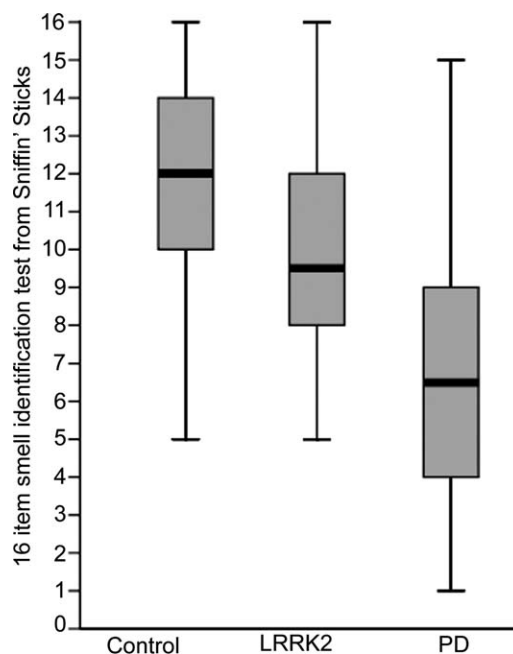


FIG. 1. Box plot of scores in the 16 item smell identification test from Sniffin' Sticks in the three patient groups. The median (the horizontal line) is within the box containing the central 50% of the observations and the extremes of the "whiskers" contain the central 95% of the ordered observations. PD = Parkinson's disease. SS-16 = 16 item smell identification test from Sniffin' Sticks.

The number of patients with abnormal SS-16 scores in *LRRK2* was higher than in controls (Fisher's exact test $p = 0.006$) and lower than in PD ($p = 0.004$) (see pie chart in Supporting Information Figure S1). In the *LRRK2* group, those with low SS-16 scores had significantly more dyskinesia. They also had younger age of onset, longer disease duration, and less family members affected, but these differences did not reach statistical significance (see details Supporting Information Table S1).

DISCUSSION

Our study provides independent confirmation of the smell deficit found in *LRRK2* parkinsonian patients, as none of the patients in the current study were included in any of the previous reports listed in Table 1. Hyposmia was less frequent in *LRRK2* than in idiopathic PD. LB disease is the most commonly reported pathological signature in G2019S cases^{17,18} but other pathological substrates have also been reported in patients with *LRRK2* mutations, including tau-positive neurofibrillary tangles,¹⁹ mixed tau and LB, TDP-43 positive inclusions,²⁰ ubiquitin only inclusions,²¹ and also no characteristic pathological deposition of protein was found, but only cell loss and gliosis of the substantia

nigra.^{22,23} It is possible that in *LRRK2* LB pathology is associated with hyposmia, whereas other substrates are not, but direct evidence of a link between pathological hallmark and olfactory function in *LRRK2* is scant. The rhinencephalon was examined in only six *LRRK2* cases (five cases of the G2019S^{6,18} and one of the Y1699C mutation¹¹) and in all LB were found in olfactory structures available; one of the G2019S patients had been smell tested and had severe hyposmia while alive.⁶ The Y1699C mutation can present with accumulation of α -synuclein, concomitant tau pathology, or cell loss with no protein accumulation; smell testing of four subjects showed mixed results,¹¹ but these patients were not examined post-mortem. The R1441C mutation can present with accumulation of α -synuclein, tau, or no protein accumulation; smell testing of six members revealed mixed results,^{12,24} In six out of eight cases of the I2020T mutation examined no α -synuclein accumulation was detected at post-mortem²³ and one carrier of this mutation had a normal UPSIT-40 score of 35/40.¹⁰ Assuming that hyposmia is a marker for LB disease and given that the majority of G2019S patients are found to have LBs at autopsy, we would expect more than half of G2019S patients to be hyposmic. This is not the case in our study.

It is difficult to know what lies between the data presented here and that presented in 2008,⁶ which failed to show a significant difference in smell test scores between PD and *LRRK2* subjects. It is possible this difference only reflects lack of power in the first study. The basic demographics of the all European subjects included in the 2008 study were similar to the Brazilian subjects in terms of age (mean [SD] in years 58.8 [5.96] for Europeans, 66 [14.6] for Brazilians) and disease duration (11.4 [7.9] for Europeans, 10.4 [7.9] for Brazilians), and the smell tests used (UPSIT for the European study, Sniffin' Sticks for the Brazilians) are of similar sensitivity and specificity, despite the UPSIT having a slightly higher repeatability.^{2,16,25} Our current data is more in line with the findings of Healy et al, 2008,⁸ who despite lacking comparisons with controls and PD, described that only half the *LRRK2* subjects presented with smell deficit. No previous study has tried to associate smell with clinical features in *LRRK2*. The only feature significantly associated with smell loss in the *LRRK2* group was the presence of dyskinesia, an association not yet investigated in PD patients, although hyposmia is independent of the overall severity of motor features of PD.²⁶⁻³²

The clinical and pathological similarities between *LRRK2* related parkinsonism and idiopathic PD indicate that monogenetic *LRRK2* parkinsonism may be a

paradigm for the development of LB disease, and a careful look at discrepancies between these two conditions may provide insight into the pathogenesis of PD. Direct cell-to-cell transmission of α -synuclein has been demonstrated using both cell culture and animal models³³ providing a plausible mechanism to explain the findings of LB in embryonic graft cells that had been transplanted into the basal ganglia of PD patients^{34,35} and leading to theories that an as yet unidentified external agent entering the nervous system through the nose and olfactory nerve causes PD. This would explain the fact that lesions of the olfactory areas are found in virtually all PD patients, as well as subjects with incidental Lewy type pathology.³⁶ That hyposmia is found in patients who present with a genetic form of parkinsonism goes against this notion, especially because *LRRK2* subjects also present with Lewy body pathology, and this can be found in the rhinencephalon.^{6,11} Nevertheless, the variable penetrance of *LRRK2*⁸ suggests factors other than the genetic mutations play a role in the pathogenesis of *LRRK2* related parkinsonism.

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Disappearance of Essential Tremor After Stroke

Michel J.-M. Dupuis,^{1*} Frédéric L.A. Evrard,¹
Philippe G. Jacquerye,¹ Gaëtane R. Picard¹
and Oliver G. Lermen²

¹Neurology Department, Pierre, Ottignies, Belgium;

²Neurosurgery Department, Pierre, Ottignies, Belgium



Abstract: Improvement of a patient's essential tremor (ET) after a stroke has rarely been reported. In such patients, cerebral imaging could help to identify structures involved in the maintenance of ET and improves the knowledge of its physiopathology. This article reports the disappearance of ET, after a stroke in 4 patients and reviews similar previously published cases. These cases suggest that the interruption of cerebellar loops during a stroke could be responsible for the disappearance of ET. © 2010 Movement Disorder Society

Key words: physiopathology; case study; anatomical structures

In the past 22 years, 12 cases of disappearance of essential tremor (ET) after a stroke have been published. In this article, we report 4 new cases. These strokes create an interruption of loops between the cerebellum and the cerebral cortex.

CASE REPORT

In 1989, we reported a patient¹ suffering from a cerebellar stroke interrupting homolateral ET. Since then, 4 cases were collected in a general hospital treating around 250 ischemic strokes and 30 hematomas per year from a population of about 200.000 people.

Case 1

This right-handed man born in 1925 was admitted in January 2000 for the abrupt onset of a right motor deficit including the face, associated to right dysmetria, dyschronometria, and dysarthria. Medical history included myocardial infarction and coronary stenting, diabetes,

hypertension, hypercholesterolemia, and past smoking habits. His idiopathic epilepsy had been treated by valproate (1300 mg/day), since 1980. The patient's father died at the age of 96 years and during his last 2 years had suffered from a tremor of both hands. In the last 10 years before stroke, the patient complained of a worsening tremor of both hands and he did not dare to eat soup with a spoon. There was neither intentional tremor or impairment of gait. His tremor hadn't responded to primidone. On admission, the MRI showed a left pontine paramedian stroke (Fig. 1a). He recovered after a few weeks, with only residual right intermediate plantar response and brisker osteotendinous reflexes. An MRI showed only small hyperintense Flair T2 signals in the pons, 10 months later (Fig. 1b).

Since the day of the stroke, the tremor on the right side has totally disappeared (video). The patient can now use a screw pull or his cutlery.

Case 2

This right-handed woman born in 1930 noted left lower limb paresis and upper limb paresthesia, when she awakened after her nap in May 2008. Five hours later, clinical examination showed left hemiparesis, face included, predominant on the lower limb, intermediate plantar response, numerous mistakes for position of toe and index, and possible index to nose dysmetria. There was a history of untreated hypertension, hypercholesterolemia, and for the last 10 years ET in both hands, without dysmetria. MRI imaging revealed a 6 × 12 mm stroke of the posterior limb of the right internal capsule (Fig. 1c). She was treated with acetylsalicylic acid, amlodipine, and statin. She was transferred to the rehabilitation unit with a gradual recovery of autonomy, went back home after 40 days, and continued to improve. After the stroke, the patient noticed that the tremor disappeared totally on the left side.

Case 3

This right-handed man was born in 1948. For more than 10 years, he had had bilateral postural hand tremor partially improved by alcohol ingestion and treated intermittently with propranolol 40 mg. In September 2008, he was admitted for left fluctuating clumsiness and sensory disturbances of the upper and lower limbs. There was a left-sided slow fall in the Mingazzini sign, intermediate plantar response, tactile hypoesthesia mainly of the hand, and mild dysmetria with closed eyes without adiadococynesia. Stroke workup showed a cortico-subcortical rolandic and prerolandic infarct on MRI (Fig. 1d). He was treated with acetylsalicylic acid and statin. In 3 days, he recovered from his neurologic defi-

*Correspondence to: Dr Michel Dupuis, Avenue Reine Fabiola 9, B-1340 Ottignies, Belgium. E-mail: mi.dupuis@clinique-saint-pierre.be

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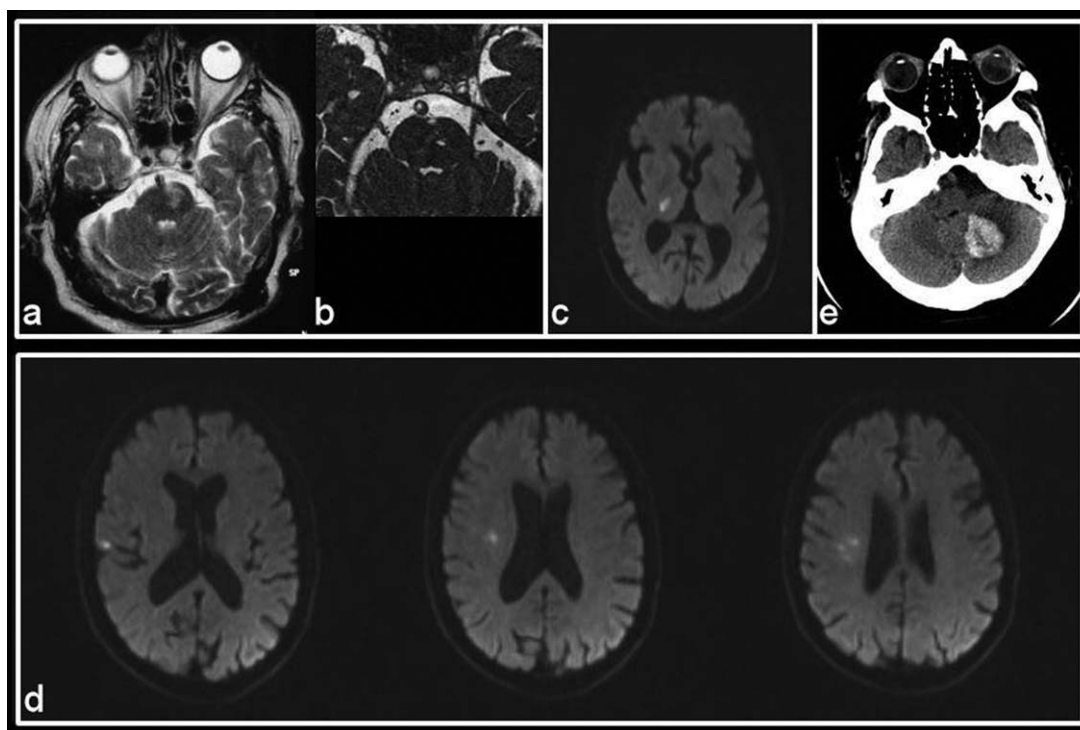


FIG. 1. Patient 1: T2-MRI acute stage (a) and 10 months later (b); Patient 2 (c): diffusion-MRI; Patient 3 (d): diffusion-MRI (all the positive diffusion images are shown); Patient 4 (e): CT-scan.

cit. After the stroke, he was pleased to see the disappearance of the tremor on the left side. Unfortunately, the tremor reappeared 3 months later and was equal in both hands in February 2009.

Case 4

This right-handed woman born in 1933 was admitted in September 2009 for unsteadiness, vomiting, and left cerebellar hematoma (Fig. 1e). Two days later, she developed generalized convulsive seizures. There was a left dysmetria, adiadococynesia, and normal eye movements. An MRI EPI T2 showed multiple small (<10 mm) subcortical small hemorrhages, sparing rolandic areas, suggesting amyloidosis. Valproate was administered for epilepsy attributed to a supratentorial hemorrhage. She had noted for the last 10 years a mild tremor when holding an object. After the stroke, her tremor disappeared on the left side.

DISCUSSION

Each of our 4 patients had suffered from bilateral postural hand tremors for years preceding their strokes; 2 of these patients have a family history. Whereas in all the cases, the ET totally disappeared on the side clinically involved with the stroke, the improvement in Case 3 lasted only 3 months.

Three previous cases of disappearance of ET following cortico-subcortical hemispheric cerebral lesions have been reported (Fig. 2; Table 1). Constantino and Louis³ reported a man who suffered from a first left hemiparesis resulting from right cerebral infarction at the age of 61 years. About 2 years later, he suffered once more from left paresis of the upper and lower limbs and noticed disappearance of ET since then. The CT scan showed only an old extensive frontal stroke, which does not exclude a small lacunar stroke as in Case 1.

Le Pira et al⁴ reported the observation of a patient with a disabling familial ET. After a transitory paresis, tremor of the right hand but not of the foot disappeared during 6 months, and reappeared as a discrete tremor within 2 years of follow-up. An MRI showed a small lesion involving the left corona radiata adjacent to the precentral gyrus.

Kim JS et al⁵ reported a case with familial ET, where the patient suffered from a right hand sensory-motor deficit resulting from a small frontal precentral stroke demonstrated by an MRI. The right-hand tremor totally disappeared after the stroke, although there prevailed a mild weakness and sensory deficit. These last two observations and Case 3 show that a limited rolandic or pre-rolandic cortico-subcortical stroke can be responsible for disappearance of ET, although only transitory in all cases, maybe because of cortical plasticity.

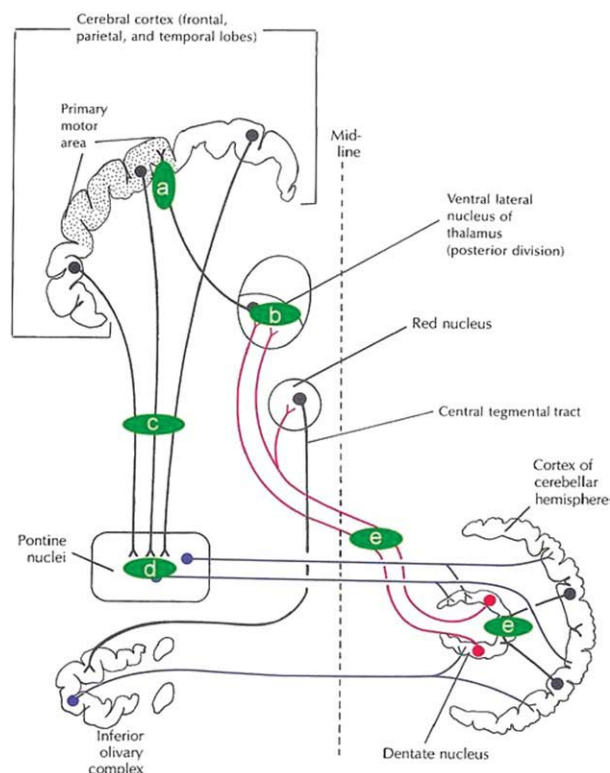


FIG. 2. Connections between the cerebellum and the cerebral cortex, with permission, from Barr's Human Nervous System.² Locations of strokes are indicated as follows: a: Cortico-subcortical hemispheric cerebral lesions; b: Thalamic lesions; c: Posterior limb of internal capsule lesions; d: Pontine lesions; e: Cerebellar lesions. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

In contrast, 12 cases of persisting disappearance of ET after a deep stroke have been reported (Fig. 2; Table 1). Three thalamic lesions⁶⁻⁸ were reported, including a thalamic hemorrhage, a thalamic lacune and another thalamic ischemia involving VIM.

Two cases of "capsulothalamic" strokes have been published. In one case,⁹ a right paresis with Babinski sign was noted, and the CT showed a stroke of the posterior limb of the internal capsule. The other case is a poster abstract stating left capsulothalamic stroke documented by an MRI¹⁰. Case 2 is similar to these cases, with sensory-motor deficit and cerebellar signs linked to a stroke of the posterior limb of the internal capsule. Typically, this region is vascularized by the anterior choroidal artery.¹¹ In 10% of the population, there is an anatomical variance, where the anterior choroidal artery irrigates a small thalamic territory.¹² Furthermore, the anterior choroidal artery is not typically reported in the four main thalamic vascular supplies.¹³ Therefore, lesions of the posterior limb structures have to be considered in patients with disappearance of ET.

Nagaratnam et al¹⁴ reported a case of a pontine stroke documented by a CT scan and contralateral disappearance of ET, similar to Case 1. Rajput et al¹⁵ reported a left cerebellar hemorrhage involving the deep cerebellar nuclei documented by a CT with homolateral disappearance of familial ET. Rottschy et al¹⁶ reported in a poster a case of progressive disappearance of ET, because of a cerebellar tumor. Our previously published Case 1 concerns an ischemic cerebellar stroke including dentate nucleus and superior cerebellar peduncle with homolateral disappearance of ET that lasted for 10 months until death. Case 4 concerns a cerebellar hematoma involving all the deep cerebellar nuclei, with a very short follow-up period.

We interpret (Fig. 2) the four cerebellar lesions and the three thalamic lesions as involving an efferent cerebellar pathway. The three capsular and two pontine strokes involve an interruption of the afferent cerebellar pathway (fronto-ponto-cerebellar tract). Both tracts are necessary for ET maintenance. Another explanation for capsular lesions would be the interruption of sensory radiations. The three corticosubcortical hemispheric cerebral lesions, close to the primary sensorimotor area, are probably also linked to the interruption of the efferent cerebellar pathways between the thalamus and the cortex. Involvement of the afferent cerebellar pathways seems less probable as they come from a very wide area of the cerebral cortex.

In summary, all of these "curative strokes" can be interpreted as interrupting the connecting pathways between primary sensory-motor area and cerebellum (Fig. 2), and semeiologically we would interpret future cases of disappearance of ET after a stroke as having such a location. No curative strokes have been reported until now concerning other structures like the inferior olivary complex.

In view of the number of cases in our practice, we believe that there is a general under reporting of disappearance of ET after a stroke. Our findings support a more systematic reporting associated with the use of MRI diffusion to prove recent small ischemic strokes.

This method of collecting data is also used for other movement disorders and is similar to classical clinico-anatomical findings. This data could usefully be correlated to information gathered from neurosurgery of movement disorders, neuropathology,¹⁷ MRI,¹⁸ and functional neuroimaging of ET for a better understanding of its physiopathology.¹⁹

LEGENDS TO THE VIDEO

Case 1: 9 years and 10 months after pontine stroke: disappearance of contralateral essential tremor on the right hand side.

TABLE 1. Locations of the strokes interrupting the tremor and corresponding references

Author	Year	Symptoms	Lesions	Imaging	Follow-up
(a) Cortico subcortical hemispheric cerebral lesions					
Constantino and Louis	2003	Left paresis	Right frontal	CT	12 yr
Le Pira et al.	2004	Right paresis	Corona radiata	MRI	2 yr
Kim et al.	2006	Sensory motor stroke	Frontal precentral	MRI	5 wk
Dupuis	This study; Case 3	Left sensorimotor	Rolandic and prerolandic	MRI	6 mo
(b) Thalamic lesions					
Im et al.	1996	Unknown	Thalamic hematoma	CT	Unknown
Nakamura et al.	1999	Unknown	Thalamic infarction	CT	Unknown
Barbaud et al.	2001	Right numbness	Left V.I.M (thalamus)	MRI	6 mo
(c) Posterior limb of internal capsule lesions					
Duncan et al.	1988	Right paresis and Babinski	Capsular posterior limb	CT scan	6 mo
Duval et al.	1997	Unknown	Left capsulo thalamic	MRI	1 yr
Dupuis	This study; Case 2	Left sensorimotor and dysmetria	Right posterior limb capsular	MRI	10 mo
(d) Pontine lesions					
Nagaratnam and Kalasabail	1997	Right paresis and Babinski	Left pontine	CT	10 mo
Dupuis	This study; Case 1	Right paresis and dysmetria	Left pontine lacune	MRI	9 yr
(e) Cerebellar lesions					
Dupuis et al.	1989	Right dysmetria	Right cerebellar	M.R.I.	10 mo
Rajput et al.	2008	Left ataxia	Left cerebellar hematoma	CT	6 yr
Dupuis	This study; Case 4	Left dysmetria	Left cerebellar hematoma	CT + MRI	1 mo
Rottschy et al.	2009	Bilateral ataxia	Right cerebellar tumor	CT	Unknown

Letters "a" to "e" indicates the locations of strokes labelled in Fig. 2.

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